

# **Sentieon Documentation**

Release 201808.07

Sentieon, Inc

# Sentieon Manual

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#### Introduction

# 1.1 Description

Sentieon Genomics software is a set of software tools that perform analysis of genomic data obtained from DNA sequencing.

#### 1.2 Benefits and Value

The Sentieon Genomics software enables rapid and accurate analysis of next-generation sequence data. The Sentieon DNAseq pipelines enable germline variant calling on hundreds of thousands of samples simultaneously. The Sentieon TNseq pipelines enable accurate calling of somatic variants in paired tumor-normal samples or in an unpaired tumor samples. The Sentieon Genomics software produces more accurate results than other tools on third-party benchmarks with results obtained in one tenth the time of comparable pipelines.

# 1.3 Platform Requirements

Sentieon Genomics software is designed to run on Linux and other POSIX-compatible platforms.

For Linux systems, we recommended using the following Linux distributions or higher: RedHat/CentOS 6.5, Debian 7.7, OpenSUSE-13.2, or Ubuntu-14.04. Sentieon Genomics software has not been tested on older releases, and may not work.

For Apple OSX systems, OSX 10.9 (Mavericks) or higher is recommended.

Sentieon Genomics software requires at least 16GB of memory, although we recommend using 64 GB of memory. The alignment step is typically the most memory consuming stage; you can check section 8.7.3 for ways to control the memory used in alignment.

Sentieon Genomics software processes file data at speeds around 20-30MB/s per core using a state of the art server. In order to take advantage of the maximum speed that the software can provide, we recommend that you use high-speed SSD hard drives in your system, preferably two identical drives in a high speed RAID 0 striped volume configuration. When using a RAID 0 configuration, it is advised to use the drives to only store intermediate files, and move out any final results or important information out of those hard drives; this way, your server should have additional storage space to store the results.

Sentieon Genomics software requires that your system have python2.6.x or python2.7.x. You can check the version of the default python in your system by running:

```
python --version
```

If python2.6.x or python2.7.x is not the default python version in your system, you will need to install it and set an environmental variable to tell the software where the python2.6.x or python2.7.x binary is located

```
export SENTIEON_PYTHON=Python_2_X_binary_location
```

# 1.4 Installation procedure for a Linux Based system

In order to install the Sentieon Genomics software in a Linux based system, you need to follow these installation instructions:

- 1. Obtain the software release package. The software release package is named sentieon-genomics-201808.07.tar.gz, where 201808.07 is the release version.
- 2. Obtain the license file from Sentieon. The license file has extension .lic. The Sentieon license control is based on a lightweight floating license server process running on a node, and serving licenses though TCP. In a HPC cluster, the license server process is typically running in a special non-computing node on the cluster periphery that has unrestricted access to the outside world through HTTPS, and serves the licenses to the rest of the nodes in the cluster by listening to a specific TCP port that needs to be open within the cluster. The license server needs to have external https access to validate the license, while the computing client nodes do not need to have access to the internet. You need to provide Sentieon with the hostname (FQDN or IP) and port where you plan on deploying the license server.
- 3. Upload the software release package and license file to your server.
- 4. Decompress the release package with the command below. This will create a folder named sentieon-genomics-201808.07.

```
tar xvzf sentieon-genomics-201808.07.tar.gz
```

5. Copy the license file to the directory that stores the license files (LICENSE\_DIR). If you are running a license server process, start the license server.

```
<SENTIEON_FOLDER>/bin/sentieon licsrvr --start LICENSE_DIR/LICENSE_FILE.lic
```

6. Set an environment variable in your system to indicate where the license is located (either LICSRVR\_HOST:LICSRVR\_PORT if you are using a license server or LICENSE\_DIR/LICENSE\_FILE.lic if you are using a license file)

We recommend that you add this line to the shell scripts you use to run the Sentieon software, or to your shell profile:

```
#if you are using a license server
export SENTIEON_LICENSE=LICSRVR_HOST:LICSRVR_PORT
#if you are using a license file
export SENTIEON_LICENSE=LICENSE_DIR/LICENSE_FILE.lic
```

# 1.5 Installation procedure for an Apple OSX system

In order to install the Sentieon Genomics software in a Mac OSX based system, you need to follow these installation instructions:

- 1. Obtain the software release package. The software release package is named mac-sentieon-genomics-201808.07.tar.gz, where 201808.07 is the release version.
- 2. Obtain the license file. The license file has extension .lic.
- 3. Upload the software release package and license file to your computer.
- 4. Decompress the release package with the command below. This will create a folder named macsentieon-genomics-201808.07.
  - tar xvzf mac-sentieon-genomics-201808.07.tar.gz
- 5. Copy the license file to the directory that stores the license files (LICENSE\_DIR).
- 6. Set the environment variable in your system to indicate where the license is located (LICENSE\_DIR/LICENSE\_FILE.lic). We recommend that you add these lines to you shell profile ~/.bash\_profile:

```
export SENTIEON_LICENSE=LICENSE_DIR/LICENSE_FILE.lic
```

#### 1.5.1 Using the software on an Apple OSX system

When using Sentieon Genomics software on a laptop using Apple OSX we recommend that you disable the energy saving capabilities of your computer, or temporarily change them while running commands.

To disable the energy saving capabilities of your computer:

- 1. Open the system preferences.
- 2. Select Energy Saver.
- 3. Make sure you check the option to "Prevent computer from sleeping automatically when display is off", as shown in Fig. 1.1.



Fig. 1.1: Setting the energy saving option in OSX

Alternatively, you can temporarily disable the energy saving capabilities of your computer by running the command from a Terminal:

pmset noidle

# 1.6 Useful environmental variables when using the software

When using Sentieon Genomics software there are certain environmental variables that may be useful or are required:

1. SENTIEON LICENSE: tells the software where the license is located. This variable is required.

```
#if you are using a license server
export SENTIEON_LICENSE=LICSRVR_HOST:LICSRVR_PORT
#if you are using a license file
export SENTIEON_LICENSE=LICENSE_DIR/LICENSE_FILE.lic
```

2. *SENTIEON\_PYTHON*: tells the software the location of the python binary. This variable is useful when python2.6.x or python2.7.x is not the default python version in your system.

```
export SENTIEON_PYTHON=Python_2_X_binary_location
```

3. SENTIEON\_TMPDIR: tells the software where to store the intermediate temporary files. This variable is recommended and should point to a fast storage location to prevent the software from being I/O limited; this is specially important when using slow a NFS storage for input/output. When using this variable, the software will create a unique temporary subfolder in SENTIEON\_TMPDIR for each command, which will reduce contention if multiple commands output to the same folder.

```
export SENTIEON_TMPDIR=/local_fast_scratch
```

4. Environmental settings to use jemalloc memory allocation: use the settings bellow to have the software use jemalloc instead of the standard OS memory allocation library. This is recommended in systems with large memory, or large number of CPUs (more than 32 vCPU). In the code below SENTIEON INSTALL DIR points to the location of the Sentieon software package.

```
export LD_PRELOAD=$SENTIEON_INSTALL_DIR/lib/libjemalloc.so.1
export MALLOC_CONF=lg_dirty_mult:-1
```

# 1.7 Keywords

Bioinformatics, DNA sequencing, Genomics.

# Latest release notes and usage changes

# 2.1 Updates on this release

Type	Description	
Feature	Improved speed of QualCal on high CPU count servers.	
Feature	Improved speed of Dedup on high CPU count servers.	
Feature	Improved speed of GVCFtyper merge for large cohorts.	
Bug-fix	Solved issue preventing reading CRAM files with slices straddling across multiple contigs; the	
	Sentieon tools do not generate such CRAM files.	
Bug-fix	Added additional error checks and error reporting.	
Bug-fix	Solved issue that would cause an error when using BAM files containing incomplete PG records.	
Bug-fix	Solved issue in GVCFtyper that would cause an error when using phased GT annotation.	

# 2.2 Usage changes from previous release

There are no changes in interface for this release.

# Typical usage for DNAseq

One of the typical uses of Sentieon Genomics software is to perform the bioinformatics pipeline for DNA analysis recommended in the Broad institute best practices described in https://www.broadinstitute.org/gatk/guide/best-practices. Fig. 3.1 illustrates such a typical bioinformatics pipeline.

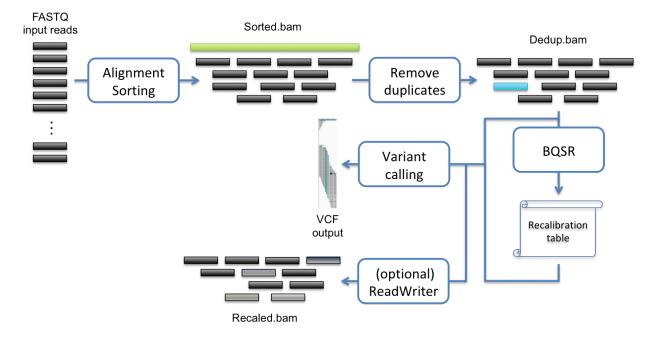


Fig. 3.1: Recommended bioinformatics pipeline for DNA variant calling analysis

# 3.1 General

In this bioinformatics pipeline you will need the following inputs:

• The FASTA file containing the nucleotide sequence of the reference genome corresponding to the sample you will analyze. The reference data needs to be pre-processed such that the data specified in Table 3.1 is available to the software. You can refer to *Preparing reference file for use* for instructions on how to generate the required files.

Data	Description
.dict	Dictionary file
.fasta	Reference sequence file
.fasta.amb	BWA index file
.fasta.ann	BWA index file
.fasta.bwt	BWA index file
.fasta.fai	Index file
.fasta.pac	BWA index file
.fasta.sa	BWA index file

Table 3.1: Data requirements for the reference nucleotide sequence

- One or multiple FASTQ files containing the nucleotide sequence of the sample to be analyzed. These files contain the raw reads from the DNA sequencing. The software supports inputting FASTQ files compressed using GZIP. The software only supports files containing quality scores in Sanger format (Phred+33).
- (Optional) The Single Nucleotide Polymorphism database (dbSNP) data that you want to include in the pipeline. The data is used in the form of a VCF file; you can use a VCF file compressed with bgzip and indexed.
- (Optional) Multiple collections of known sites that you want to include in the pipeline. The data is used in the form of a VCF file; you can use a VCF file compressed with bgzip and indexed.

The following steps compose the typical bioinformatics pipeline:

- 1. Map reads to reference: This step aligns the reads contained in the FASTQ files to map to a reference genome contained in the FASTA file. This step ensures that the data can be placed in context.
- 2. Calculate data metrics: This step produces a statistical summary of the data quality and the pipeline data analysis quality.
- 3. Remove duplicates: This step detects reads indicative that the same DNA molecules were sequenced several times. These duplicates are not informative and should not be counted as additional evidence.
- 4. (optional) Indel realignment: This step performs a local realignment around indels. This step is necessary as reads mapped on the edges of indels often get mapped with mismatching bases that are mapping artifacts. However, when using haplotype based callers such as Haplotyper or DNAscope, this step is not required, as the variant caller performs a local reassembly that provides most of the accuracy increase that results from Indel Realignment.
- 5. Base quality score recalibration (BQSR): This step modifies the quality scores assigned to individual read bases of the sequence read data. This action removes experimental biases caused by the sequencing methodology.
- 6. Variant calling: This step identifies the sites where your data displays variation relative to the reference genome, and calculates genotypes for each sample at that site.

# 3.2 Step by step usage for DNAseq

#### 3.2.1 Map reads to reference

A single command is run to efficiently perform the alignment using BWA, and the creation of the BAM file and the sorting using Sentieon software:

```
(sentieon bwa mem -M -R '@RG\tID:GROUP_NAME\tSM:SAMPLE_NAME\tPL:PLATFORM' \
  -t NUMBER_THREADS REFERENCE SAMPLE [SAMPLE2] || echo -n 'error' ) \
  | sentieon util sort -r REFERENCE -o SORTED_BAM -t NUMBER_THREADS --sam2bam -i -
```

Inputs and options for BWA are described in its manual<sup>1</sup>.

Alternatively, you can use other aligners that produce a file following the SAM format in stdout and replace the BWA portion of the command.

The following inputs are required for the command:

- **GROUP\_NAME**: Readgroup identifier that will be added to the readgroup header line. The RG:ID needs to be unique among all the datasets that you plan on using, which is important when working with multiple input files as described in Section 9.2, or when performing a Tumor-Normal analysis as described in Section 6.
- **SAMPLE NAME**: name of the sample that will be added to the readgroup header line.
- **PLATFORM**: name of the sequencing platform used to sequence the DNA. Possible options are ILLU-MINA when the fastq files have been produced in an Illumina<sup>TM</sup> machine; IONTORRENT when the fastq files have been produced in a Life Technologies<sup>TM</sup> Ion-Torrent<sup>TM</sup>machine.
- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system. We recommend that you use the same number of threads for both BWA and for the util binary.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that all additional reference data specified in Table 3.1 is available in the same location with consistent naming.
- **SAMPLE**: the location of the sample FASTQ file. If the data comes from pair ended sequencing technology, you will also need to input **SAMPLE2** as the corresponding pair sample FASTQ file.
- **SORTED\_BAM**: the location and filename of the sorted mapped BAM output file. A corresponding index file (.bai) will be created.

BWA will produce slightly different results depending on the number of threads used in the command. This is due to the fact that BWA computes the insert size distribution on a chunk, whose size is dependent on the number of threads. To guarantee that the results are independent of the number of threads used, you should fix the chunk size in bases using option -K 10000000.

#### 3.2.2 Calculate data metrics

A single command is run to generate 5 statistical summaries of the data quality and the pipeline data analysis quality results:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i SORTED_BAM \
--algo GCBias --summary GC_SUMMARY_TXT GC_METRIC_TXT \
--algo MeanQualityByCycle MQ_METRIC_TXT \
--algo QualDistribution QD_METRIC_TXT \
--algo InsertSizeMetricAlgo IS_METRIC_TXT \
--algo AlignmentStat ALN_METRIC_TXT
```

Four commands are run to generate the plots from the statistical summaries:

```
sentieon plot GCBias -o GC_METRIC_PDF GC_METRIC_TXT
sentieon plot MeanQualityByCycle -o MQ_METRIC_PDF MQ_METRIC_TXT

(continues on next page)
```

<sup>&</sup>lt;sup>1</sup> http://bio-bwa.sourceforge.net/bwa.shtml

(continued from previous page)

```
sentieon plot QualDistribution -o QD_METRIC_PDF QD_METRIC_TXT sentieon plot InsertSizeMetricAlgo -o IS_METRIC_PDF IS_METRIC_TXT
```

The following inputs are required for the commands:

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- **SORTED\_BAM**: the location where the previous mapping stage stored the result.
- GC\_SUMMARY\_TXT: the location and filename of the GC bias metrics summary results output file.
- GC METRIC TXT: the location and filename of the GC bias metrics results output file.
- MQ\_METRIC\_TXT: the location and filename of the mapping quality metrics results output file.
- QD METRIC TXT: the location and filename of the quality/depth metrics results output file.
- IS\_METRIC\_TXT: the location and filename of the insertion size metrics results output file.
- ALN\_METRIC\_TXT: the location and filename of the alignment metrics results output file.
- GC\_METRIC\_PDF: the location and filename of the GC bias metrics report output file.
- MQ\_METRIC\_PDF: the location and filename of the mapping quality metrics report output file.
- QD\_METRIC\_PDF: the location and filename of the quality/depth metrics report output file.
- IS\_METRIC\_PDF: the location and filename of the insertion size metrics report output file.

#### 3.2.3 Remove duplicates

Two individual commands are run to remove duplicates on the BAM file after alignment and sorting. The first command collects read information, and the second command performs the deduping.

```
sentieon driver -t NUMBER_THREADS -i SORTED_BAM \
--algo LocusCollector --fun score_info SCORE.gz
sentieon driver -t NUMBER_THREADS -i SORTED_BAM \
--algo Dedup --rmdup --score_info SCORE.gz \
--metrics DEDUP_METRIC_TXT DEDUPED_BAM
```

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **SORTED BAM**: the location where the previous mapping stage stored the result.
- **SCORE.gz**: the location and filename of the temporary score output file. Make sure that the same file is used for both commands.
- **DEDUP\_METRICS\_TXT**: the location and filename of the dedup metrics results output file.
- **DEDUPED\_BAM**: the location and filename of the deduped BAM output file. A corresponding index file (.bai) will be created.

#### 3.2.4 Indel realignment (optional)

A single command is run to perform local realignment around indels on the BAM file after alignment, sorting and deduping.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE \
-i DEDUPED_BAM --algo Realigner [-k KNOWN_SITES] REALIGNED_BAM
```

The following inputs are required for the command:

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- **DEDUPED BAM**: the location where the previous deduping stage stored the result.
- **REALIGNED\_BAM**: the location and filename of the realigned BAM output file. A corresponding index file (.bai) will be created.

The following inputs are optional for the command:

• **KNOWN\_SITES**: the location of the VCF file used as a set of known sites. You can include multiple collections of known sites by repeating the -k KNOWN\_SITES option.

## 3.2.5 Base quality score recalibration (BQSR)

A single command is run to calculate the required modification of the quality scores assigned to individual read bases of the sequence read data; the actual recalibration is applied during the variant calling stage. The input BAM file to this command depends on whether the Indel Realignment step was performed.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE \
-i REALIGNED_BAM/DEDUPED_BAM --algo QualCal [-k KNOWN_SITES] RECAL_DATA.TABLE
```

Three commands are run to apply the recalibration and create a report on the base quality sore recalibration. The first command applies the recalibration to calculate the post calibration data table and additionally apply the recalibration on the BAM file, the second one creates the data for plotting; the third command plots the calibration data tables, both pre and post, into graphs in a pdf.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i REALIGNED_BAM/DEDUPED_BAM \
-q RECAL_DATA.TABLE --algo QualCal [-k KNOWN_SITES] \
RECAL_DATA.TABLE.POST [--algo ReadWriter RECALIBRATED_BAM]
sentieon driver -t NUMBER_THREADS --algo QualCal --plot \
--before RECAL_DATA.TABLE --after RECAL_DATA.TABLE.POST RECAL_RESULT.CSV
sentieon plot QualCal -o BQSR_PDF RECAL_RESULT.CSV
```

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- REALIGNED\_BAM: the location where the previous realignment stage stored the result.
- **DEDUPED BAM**: the location where the previous deduping stage stored the result.
- RECAL DATA.TABLE: the location and filename of the recalibration table.

- RECAL DATA.TABLE.POST: the location and filename of the temporary post recalibration table
- **RECAL\_RESULT.CSV**: the location and filename of the temporary recalibration results output file used for plotting.
- BQSR\_PDF: the location and filename of the BSQR results output file.

The following inputs are optional for the command:

- **KNOWN\_SITES**: the location of the VCF file used as a set of known sites. You can include multiple collections of known sites by repeating the -k KNOWN\_SITES option.
- **RECALIBRATED\_BAM**: the location and filename of the recalibrated BAM output file. A corresponding index file (.bai) will be created. This output is optional as Sentieon variant callers can perform the recalibration on the fly using the before recalibration BAM plus the recalibration table

#### 3.2.6 Variant calling

A single command is run to call variants and additionally apply the BQSR calculated before. The input BAM file to this command depends on whether the Indel Realignment step was performed.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i REALIGNED_BAM/DEDUPED_BAM \
-q RECAL_DATA.TABLE --algo Haplotyper [-d dbSNP] VARIANT_VCF
```

You may want to rerun only the variant calling, for example to use Unified Genotyper variant calling algorithm. In that case, you do not need to re-apply the BQSR, and can use the previously recalibrated BAM:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i RECALIBRATED_BAM \
--algo Genotyper [-d dbSNP] VARIANT_VCF
```

In both cases, using the recalibrated BAM or the BAM before recalibration plus the recalibration data table will give identical results; however, you should be careful not to use the recalibration data table together with the already recalibrated BAM, as that would apply the recalibration twice, leading to incorrect results.

The following inputs are required for the command:

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- **REALIGNED BAM**: the location where the previous realignment stage stored the result.
- **DEDUPED BAM**: the location where the previous deduping stage stored the result.
- **RECAL DATA.TABLE**: the location where the previous BQSR stage stored the result.
- RECALIBRATED BAM: the location of the recalibrated BAM file.
- VARIANT\_VCF: the location and filename of the variant calling output file. A corresponding index file (.idx) will be created. The tool will output a compressed file by using .gz extension.

The following inputs are optional for the command:

• **dbSNP**: the location of the Single Nucleotide Polymorphism database (dbSNP) that will be used to label known variants. You can only use one dbSNP file.

# Typical usage for DNAscope

The Sentieon Genomics software includes an improved algorithm to perform the variant calling step of germline DNA analysis. The pipeline used for DNAscope is similar to the DNAseq one described in *Typical usage for DNAseq*. DNAscope can perform structural variant calling in addition to calling SNPs and small indels.

## 4.1 General

In this bioinformatics pipeline you will need the following inputs:

- The FASTA file containing the nucleotide sequence of the reference genome corresponding to the sample you will analyze.
- One or multiple FASTQ files containing the nucleotide sequence of the sample to be analyzed. These files contain the raw reads from the DNA sequencing. The software supports inputting FASTQ files compressed using GZIP. The software only supports files containing quality scores in Sanger format (Phred+33).
- (Optional) The Single Nucleotide Polymorphism database (dbSNP) data that you want to include in the pipeline. The data is used in the form of a VCF file; you can use a VCF file compressed with bgzip and indexed.
- (Optional) Multiple collections of known sites that you want to include in the pipeline. The data is used in the form of a VCF file; you can use a VCF file compressed with bgzip and indexed.
- (For SNPs and small indels calling) A machine learning model file.

The following steps compose the typical bioinformatics pipeline for a tumor-normal matched pair:

- 1. Pre-process the sample using a DNA seq pipeline like the one introduced in Section 3, with the following stages:
  - (a) Map reads to reference.
  - (b) Calculate data metrics.
  - (c) Remove duplicates.
  - (d) (optional) Indel realignment.
  - (e) Base quality score recalibration (BQSR).

2. Variant calling using DNAscope: This step identifies the sites where your data displays variation relative to the reference genome, and calculates genotypes for each sample at that site.

# 4.2 Step by step usage

For the mapping, duplicate removal, indel realignment and base quality score recalibration stages, please refer to Section 3.2 for detailed usage instructions.

#### 4.2.1 Germline variant calling

A single command is run to call variants and additionally apply the BQSR calculated before. The input BAM file to this command depends on whether the Indel Realignment step was performed.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i REALIGNED_BAM/DEDUPED_BAM \
-q RECAL_DATA.TABLE --algo DNAscope [-d dnSNP] VARIANT_VCF
```

Alternatively, you can use a previously recalibrated BAM:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i RECALIBRATED_BAM \
--algo DNAscope [-d dnSNP] VARIANT_VCF
```

In both cases, using the recalibrated BAM or the BAM before recalibration plus the recalibration data table will give identical results; however, you should be careful not to use the recalibration data table together with the already recalibrated BAM, as that would apply the recalibration twice, leading to incorrect results.

The following inputs are required for the command:

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- **REALIGNED\_BAM**: the location where the previous realignment stage stored the result.
- **DEDUPED BAM**: the location where the previous deduping stage stored the result.
- **RECAL DATA.TABLE**: the location where the previous BOSR stage stored the result.
- **RECALIBRATED BAM**: the location of the recalibrated BAM file.
- VARIANT\_VCF: the location and filename of the variant calling output file. A corresponding index file (.idx) will be created. The tool will output a compressed file by using .gz extension.

The following inputs are optional for the command:

• **dbSNP**: the location of the Single Nucleotide Polymorphism database (dbSNP) that will be used to label known variants. You can only use one dbSNP file.

#### 4.2.2 Germline variant calling with a machine learning model (Beta)

Please check the appnote in https://support.sentieon.com/appnotes/dnascope\_ml for details about using a machine learning model with DNAscope to improve accuracy.

#### 4.2.3 Structural variant calling

In order to perform structural variant calling you need to add the option to output break-end (BND) information to the DNAscope command; this is done by enabling the bnd (break-end) variant type. Two individual commands are run to perform structural variant calling while additionally applying the BQSR calculated before. The input BAM file to the first command depends on whether the Indel Realignment step was performed.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i REALIGNED_BAM/DEDUPED_BAM \
-q RECAL_DATA.TABLE --algo DNAscope --var_type bnd \
[-d dbSNP] TMP_VARIANT_VCF
sentieon driver -t NUMBER_THREADS -r REFERENCE --algo SVSolver \
-v TMP_VARIANT_VCF STRUCTURAL_VARIANT_VCF
```

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- TMP\_VARIANT\_VCF: the location and filename of the variant calling output file from DNAscope, which includes the BND information. This is a temporary file when calling structural variants.
- STRUCTURAL\_VARIANT\_VCF: the location and filename of the variant calling output file containing the structural variants. A corresponding index file (.idx) will be created. The tool will output a compressed file by using .gz extension.

# Typical usage for TNseq

Another of the typical uses of Sentieon Genomics software is to perform the bioinformatics pipeline for Tumor-Normal analysis recommended in the Broad institute Somatic short variant discovery (SNVs + Indels)<sup>2</sup>. Fig. 5.1 illustrates such a typical bioinformatics pipeline.

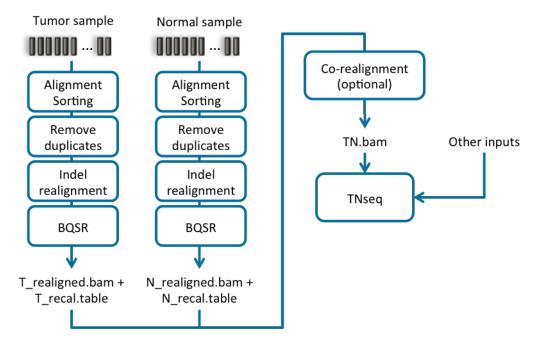


Fig. 5.1: Recommended bioinformatics pipeline for Tumor-Normal analysis

# 5.1 General

In this bioinformatics pipeline you will need the following inputs:

• The FASTA file containing the nucleotide sequence of the reference genome corresponding to the sample you will analyze.

<sup>&</sup>lt;sup>2</sup> https://software.broadinstitute.org/gatk/best-practices/workflow?id=11146

- Two sets of FASTQ files containing the nucleotide sequence of the sample to be analyzed, one for the tumor sample and one for the matched normal sample. These files contain the raw reads from the DNA sequencing. The software supports inputting FASTQ files compressed using GZIP. The software only supports files containing quality scores in Sanger format (Phred+33).
- (Optional) The Single Nucleotide Polymorphism database (dbSNP) data that you want to include in the pipeline. The data is used in the form of a VCF file.
- (Optional) Multiple collections of known sites that you want to include in the pipeline. The data is used in the form of a VCF file.

You can also include in the pipeline the following optional inputs that will help the algorithms detect artifacts and remove false positives:

- Panel of normal VCF: list of common errors that appear as variants from multiple unrelated normal samples. The contents of this file will be used to identify variants that are more likely to be germline variants, and filter them as such.
- Cosmic VCF: data from the Catalogue of Somatic Mutations in Cancer (COSMIC) representing a list of known tumor related variants. The contents of this file will be used to reduce the germline risk factor of the variants. You need to use the same COSMIC file as the one used to generate the Panel of Normal VCF.

If you do not have accessed to a normal (non tumor) sample matched to the tumor sample, the Panel of Normal VCF and the Cosmic VCF inputs are highly recommended.

The following steps compose the typical bioinformatics pipeline for a tumor-normal matched pair:

- 1. Independently pre-process the Tumor and Normal samples using a DNA seq pipeline like the one introduced in Section 3, with the following stages:
  - (a) Map reads to reference; you need to make sure that the SM sample tag is different between the tumor and the normal samples, as you will need it as an argument in the somatic variant calling.
  - (b) Calculate data metrics.
  - (c) Remove duplicates.
  - (d) Indel realignment.
  - (e) Base quality score recalibration (BQSR).
- 2. Indel co-realignment: This step performs a local realignment around indels for the combined data of both tumor and normal sample.
- 3. Somatic variant calling on the co-realigned BAM or on the two individual bam: This step identifies the sites where the cancer genome data displays somatic variations relative to the normal genome, and calculates genotypes at that site.

The following steps compose the typical bioinformatics pipeline for a tumor sample without normal matched sample (Fig. 5.2):

- 1. Pre-process the Tumor sample using a DNA seq pipeline like the one introduced in Section 3, with the following stages:
  - (a) Map reads to reference.
  - (b) Calculate data metrics.
  - (c) Remove duplicates.
  - (d) Indel realignment.
  - (e) Base quality score recalibration (BQSR).

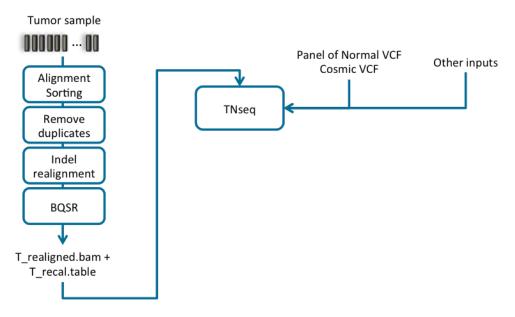


Fig. 5.2: Recommended bioinformatics pipeline for Tumor only analysis

- (f) (optional) Apply recalibration and create the recalibrated BAM file.
- 2. Variant calling on the tumor sample using information from a generic panel of normal samples: This step identifies the sites where the cancer genome data displays somatic variations relative to the panel of normal genome, and calculates genotypes at that site.

# 5.2 Step by step usage

For the mapping, duplicate removal, indel realignment and base quality score recalibration stages, please refer to Section 3.2 for detailed usage instructions.

## 5.2.1 Indel co-realignment

A single command is run to perform local realignment around indels on the combination of both Tumor and Normal BAM files after pre-processing.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i TUMOR_REALIGN_BAM \
-q TUMOR_RECAL_DATA.TABLE -i NORMAL_REALIGN_BAM -q NORMAL_RECAL_DATA.TABLE \
--algo Realigner [-k KNOWN_SITES] COREALIGNED_BAM
```

Alternatively, you can use already recalibrated BAM files to achieve the same results.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i TUMOR_RECALIBRATED_BAM \
-i NORMAL_RECALIBRATED_BAM --algo Realigner [-k KNOWN_SITES] COREALIGNED_BAM
```

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.

- TUMOR\_REALIGNED\_BAM: the location of the pre-processed BAM file after individual realignment for the TUMOR sample.
- TUMOR\_RECAL\_DATA.TABLE: the location where the BQSR stage for the TUMOR sample stored the result.
- NORMAL\_REALIGNED\_BAM: the location of the pre-processed BAM file after individual realignment for the NORMAL sample.
- NORMAL\_RECAL\_DATA.TABLE: the location where the BQSR stage for the NORMAL sample stored
  the result.
- TUMOR\_RECALIBRATED\_BAM: the location of the pre-processed BAM file after recalibration for the TUMOR sample.
- NORMAL\_RECALIBRATED\_BAM: the location of the pre-processed BAM file after recalibration for the NORMAL sample.
- **COREALIGNED\_BAM**: the location and filename of the realigned BAM output file. A corresponding index file (.bai) will be created.

The following inputs are optional for the command:

• **KNOWN\_SITES**: the location of the VCF file used as a set of known sites. You can include multiple collections of known sites by repeating the -k KNOWN\_SITES option.

#### 5.2.2 Variant discovery with matched normal sample

A single command is run to call variants on the tumor-normal matched pair.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i COREALIGNED_BAM \
--algo TNhaplotyper --tumor_sample TUMOR_SAMPLE_NAME \
--normal_sample NORMAL_SAMPLE_NAME [--dbsnp DBSNP] OUT_TN_VCF
```

You can also use the TNhaplotyper2 algorithm to call variants, in which case two commands are used:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i COREALIGNED_BAM \
--algo TNhaplotyper2 --tumor_sample TUMOR_SAMPLE_NAME \
--normal_sample NORMAL_SAMPLE_NAME TMP_OUT_TN_VCF
sentieon tnhapfilter --tumor_sample TUMOR_SAMPLE_NAME \
--normal_sample NORMAL_SAMPLE_NAME -v TMP_OUT_TN_VCF OUT_TN_VCF
```

Alternatively, you can use TNsnv to call SNVs only:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i COREALIGNED_BAM \
   --algo TNsnv --tumor_sample TUMOR_SAMPLE_NAME \
   --normal_sample NORMAL_SAMPLE_NAME [--dbsnp DBSNP] \
   [--call_stats_out OUT_CALL_STATS] OUT_TN_VCF
```

Alternatively, if you did not run co-realignment, you can use the before co-realignment BAM files to perform the somatic calling:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i TUMOR_REALIGN_BAM \
-q TUMOR_RECAL_DATA.TABLE -i NORMAL_REALIGN_BAM \
-q NORMAL_RECAL_DATA.TABLE --algo TNhaplotyper \
--tumor_sample TUMOR_SAMPLE_NAME --normal_sample NORMAL_SAMPLE_NAME \
[--dbsnp DBSNP] OUT_TN_VCF
```

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- **COREALIGNED\_BAM**: the location and filename of the co-realigned BAM file from co-realignment stage.
- TUMOR\_SAMPLE\_NAME: sample name used for tumor sample in Map reads to reference stage.
- NORMAL\_SAMPLE\_NAME: sample name used for normal sample in Map reads to reference stage.
- TMP\_OUT\_TN\_VCF: the location and file name of the output file from TNhaplotyper2; this is a temporary file.
- OUT TN VCF: the location and file name of the output file containing the variants.

The following inputs are optional for the command:

- **DBSNP**: the location of the Single Nucleotide Polymorphism database (dbSNP). The variants in the dbSNP will be more likely to be marked as germline as they require more evidence of absence in the normal. You can only use one dbSNP file.
- **OUT\_CALL\_STATS**: the location and file name of the output file containing the call stats of the discovered variants. The file is in text format. This argument is only needed when using TNsnv.

## 5.2.3 Step by step usage when missing a matched normal sample

When missing a matched normal sample, you will need to replace the matched normal sample with a generic Panel of Normal (PoN) file generated following the instructions in the next section.

A single command is run to call variants on the tumor only sample.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i TUMOR_REALIGNED_BAM \
-q TUMOR_RECAL_DATA.TABLE --algo TNhaplotyper \
--tumor_sample TUMOR_SAMPLE_NAME --pon PANEL_OF_NORMAL_VCF \
--cosmic COSMIC_DB_VCF [--dbsnp DBSNP] OUT_TN_VCF
```

Alternatively, you can use already recalibrated BAM files to achieve the same results.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i TUMOR_RECALIBRATED_BAM \
   --algo TNhaplotyper --tumor_sample TUMOR_SAMPLE_NAME \
   --pon PANEL_OF_NORMAL_VCF --cosmic COSMIC_DB_VCF \
   [--dbsnp DBSNP] OUT_TN_VCF
```

You can also use the TNhaplotyper2 algorithm to call variants on the tumor sample using a PoN, in which case you use the following two commands:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i TUMOR_REALIGNED_BAM \
    -q TUMOR_RECAL_DATA.TABLE --algo TNhaplotyper2 \
    --tumor_sample TUMOR_SAMPLE_NAME \
    --pon PANEL_OF_NORMAL_VCF TMP_OUT_TN_VCF
sentieon thhapfilter --tumor_sample TUMOR_SAMPLE_NAME \
    -v TMP_OUT_TN_VCF OUT_TN_VCF
```

Bear in mind that when using TNhaplotyper2 with a PoN, all variants in the output VCF file will be marked with the *germline\_risk* FILTER by default, in addition to any other FILTER; these variants are considered to be potentially germline because of the lack of matched normal. As such, you may want to remove the *germline risk* FILTER using the following BCFtools command:

```
BCF=/path_to_bcftools

$BCF/bcftools annotate -x FILTER/germline_risk OUT_TN_VCF OUT_TN_FILTER_VCF
```

The following inputs are required for the commands:

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- TUMOR\_REALIGNED\_BAM: the location of the pre-processed BAM file after realignment for the TU-MOR sample.
- TUMOR\_RECAL\_DATA.TABLE: the location where the BQSR stage for the TUMOR sample stored the result.
- TUMOR\_RECALIBRATED\_BAM: the location of the pre-processed BAM file after recalibration for the TUMOR sample.
- TUMOR\_SAMPLE\_NAME: sample name used for tumor sample in Map reads to reference stage.
- PANEL\_OF\_NORMAL\_VCF: the location and name of panel of normal VCF file.
- **COSMIC\_VCF**: the location and name of the cosmic database VCF file. This file is only required for a PoN to be used with TNsnv or TNhaplotyper.
- OUT TN VCF: the location and file name of the output file containing the variants.

The following inputs are optional for the command:

• **DBSNP**: the location of the Single Nucleotide Polymorphism database (dbSNP). The variants in the dbSNP will be more likely to be marked as germline as they require more evidence of absence in the normal. You can only use one dbSNP file.

## 5.2.4 Generating a Panel of Normal VCF file

In order to generate your own Panel of Normal VCF file to use with TNhaplotyper, you will need to run the following command on every normal sample you want to use in the panel:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i NORMAL_RECALIBRATED_BAM \
   --algo TNhaplotyper --detect_pon \
   --cosmic COSMIC_VCF [--dbsnp DBSNP] OUT_NORMAL_VCF
```

If you need to create a Panel of Normal VCF file to use with TNhaplotyper2, you will need to run the following command on every normal sample you want to use in the panel:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i NORMAL_RECALIBRATED_BAM \
--algo TNhaplotyper2 --tumor_sample NORMAL_SAMPLE_NAME OUT_NORMAL_VCF
```

We recommend that you create the panel of normal file with the corresponding algorithm that you plan to use for the somatic mutation calling. If you plan to use TNsnv for the calling, you should use TNsnv for the generation of the panel of normal.

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.

- NORMAL\_RECALIBRATED\_BAM: the location of the pre-processed BAM file for the normal sample after Sentieon Readwrite stage.
- **COSMIC\_VCF**: the location and name of the cosmic database VCF file. This file is only required for a PoN to be used with TNsnv or TNhaplotyper.
- **OUT\_NORMAL\_VCF**: the location and name of the output VCF file containing the relevant variants for the input normal sample.
- NORMAL SAMPLE NAME: sample name used for normal sample in Map reads to reference stage.

The following inputs are optional for the command:

• **DBSNP**: the location of the Single Nucleotide Polymorphism database (dbSNP) that will be used to label known variants. You can only use one dbSNP file.

After you have generated all VCF files you want to include in the panel, you need to merge them into a single Panel of Normal VCF. You can use bcftools<sup>3</sup> for that purpose:

```
BCF=/path_to_bcftools
export BCFTOOLS_PLUGINS=$BCF/plugins
DIR=/path_to_normal_vcf_file
$BCF/bcftools merge -m all -f PASS,. --force-samples $DIR/*.vcf.gz |\
$BCF/bcftools plugin fill-AN-AC |\
$BCF/bcftools filter -i 'SUM(AC)>1' > panel_of_normal.vcf
```

<sup>&</sup>lt;sup>3</sup> https://samtools.github.io/bcftools/bcftools.html

# Typical usage for TNscope

A use of Sentieon Genomics software is to perform the bioinformatics pipeline for Tumor only or Tumor-Normal analysis using the new TNscope algorithms for somatic variant and structural variant detection. Fig. 6.1 illustrates such a typical bioinformatics pipeline.

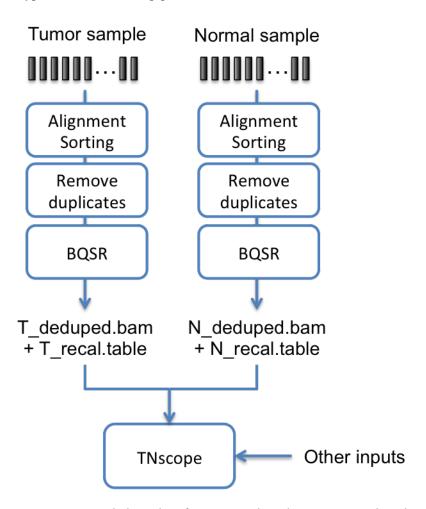


Fig. 6.1: Recommended pipeline for TNscope based Tumor-Normal analysis

# 6.1 General

In this bioinformatics pipeline you will need the following inputs:

- The FASTA file containing the nucleotide sequence of the reference genome corresponding to the sample you will analyze.
- Two sets of FASTQ files containing the nucleotide sequence of the sample to be analyzed, one for the tumor sample and one for the matched normal sample. These files contain the raw reads from the DNA sequencing. The software supports inputting FASTQ files compressed using GZIP. The software only supports files containing quality scores in Sanger format (Phred+33).
- (Optional) The Single Nucleotide Polymorphism database (dbSNP) data that you want to include in the pipeline. The data is used in the form of a VCF file.
- (Optional) Multiple collections of known sites that you want to include in the pipeline. The data is used in the form of a VCF file.

The following steps compose the typical bioinformatics pipeline for a tumor-normal matched pair:

- 1. Independently pre-process the Tumor and Normal samples using a DNA seq pipeline like the one introduced in Section 3, with the following stages:
  - (a) Map reads to reference; you need to make sure that the SM sample tag is different between the tumor and the normal samples, as you will need it as an argument in the somatic variant calling. You also need to make sure that the RG:ID for both samples is different and unique.
  - (b) Calculate data metrics.
  - (c) Remove duplicates.
  - (d) Base quality score recalibration (BQSR).
- 2. Somatic variant calling: this step identifies the sites where the cancer genome data displays somatic variations relative to the normal genome, and calculates genotypes at that site.

# 6.2 Step by step usage

For the mapping, duplicate removal, and base quality score recalibration stages, please refer to Section 3.2 for detailed usage instructions.

## 6.2.1 Somatic variant discovery with or without matched normal sample

A single command is run to call variants on the tumor-normal matched pair.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE \
   -i TUMOR_DEDUPED_BAM -q TUMOR_RECAL_DATA.TABLE \
   -i NORMAL_DEDUPED_BAM -q NORMAL_RECAL_DATA.TABLE \
   --algo TNscope \
   --tumor_sample TUMOR_SAMPLE_NAME --normal_sample NORMAL_SAMPLE_NAME \
   [--dbsnp DBSNP] OUT_TN_VCF
```

If you do not have a matched normal sample, you can skip the normal sample BAM file and sample name inputs, as those are not required; however, due to the absence of normal sample, germline variants will be present in the output file:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE \
  -i TUMOR_DEDUPED_BAM -q TUMOR_RECAL_DATA.TABLE \
  --algo TNscope --tumor_sample TUMOR_SAMPLE_NAME \
  [--dbsnp DBSNP] OUT_TN_VCF
```

In order to filter out germline variants when missing a matched normal sample, you can replace the matched normal sample with a generic panel of normal file generated using the same methodology as the one for TNhaplotyper2 described in Section 5.2.4.

A single command is run to call variants on the tumor only sample.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE \
-i TUMOR_DEDUPED_BAM -q TUMOR_RECAL_DATA.TABLE \
--algo TNscope --tumor_sample TUMOR_SAMPLE_NAME \
--pon PANEL_OF_NORMAL_VCF [--dbsnp DBSNP] OUT_TN_VCF
```

The following inputs are required for the command:

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- TUMOR\_DEDUPED\_BAM: the location of the pre-processed BAM file after deduplication for the TU-MOR sample.
- TUMOR\_RECAL\_DATA.TABLE: the location where the BQSR stage for the TUMOR sample stored the result.
- NORMAL\_DEDUPED\_BAM: the location of the pre-processed BAM file after deduplication for the NORMAL sample.
- NORMAL\_RECAL\_DATA.TABLE: the location where the BQSR stage for the NORMAL sample stored
  the result.
- TUMOR SAMPLE NAME: sample name used for tumor sample in Map reads to reference stage.
- OUT TN VCF: the location and file name of the output file containing the variants.

The following inputs are optional for the command:

- NORMAL SAMPLE NAME: sample name used for normal sample in Map reads to reference stage.
- **DBSNP**: the location of the Single Nucleotide Polymorphism database (dbSNP). The variants in the dbSNP will be more likely to be marked as germline as they require more evidence of absence in the normal. You can only use one dbSNP file.
- PANEL OF NORMAL VCF: the location and name of panel of normal VCF file.

#### 6.2.2 Generating a Panel of Normal VCF file

In order to generate your own Panel of Normal VCF file to use with TNscope, you will need to run the following command on every normal sample you want to use in the panel:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i NORMAL_RECALIBRATED_BAM \
--algo TNscope --tumor_sample NORMAL_SAMPLE_NAME OUT_NORMAL_VCF
```

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- NORMAL\_RECALIBRATED\_BAM: the location of the pre-processed BAM file for the normal sample after Sentieon Readwrite stage.
- NORMAL\_SAMPLE\_NAME: sample name used for normal sample in Map reads to reference stage.
- **OUT\_NORMAL\_VCF**: the location and name of the output VCF file containing the relevant variants for the input normal sample.

After you have generated all VCF files you want to include in the panel, you need to merge them into a single Panel of Normal VCF. You can use bcftools<sup>4</sup> for that purpose:

```
BCF=/path_to_bcftools
export BCFTOOLS_PLUGINS=$BCF/plugins
DIR=/path_to_normal_vcf_file
$BCF/bcftools merge -m all -f PASS,. --force-samples $DIR/*.vcf.gz |\
$BCF/bcftools plugin fill-AN-AC |\
$BCF/bcftools filter -i 'SUM(AC)>1' > panel_of_normal.vcf
```

# 6.2.3 Somatic variant discovery with a matched normal sample using a machine learning model (Beta)

Please check the appnote in https://support.sentieon.com/appnotes/tnscope\_ml for details about using a machine learning model with TNscope to improve accuracy.

<sup>&</sup>lt;sup>4</sup> https://samtools.github.io/bcftools/bcftools.html

# Typical usage for RNA variant calling

One of the typical uses of Sentieon Genomics software is to perform the bioinformatics pipeline for RNA analysis recommended in the Broad institute best practices described in http://gatkforums.broadinstitute.org/wdl/discussion/3892/the-gatk-best-practices-for-variant-calling-on-rnaseq-in-full-detail.

## 7.1 General

In this bioinformatics pipeline you will need the following inputs:

- The FASTA file containing the nucleotide sequence of the reference genome corresponding to the sample you will analyze.
- A BAM file containing the information for the sample to be analyzed. These files contain the reads from the RNA sequencing aligned using STAR.
- (Optional) The Single Nucleotide Polymorphism database (dbSNP) data that you want to include in the pipeline. The data is used in the form of a VCF file; you can use a VCF file compressed with bgzip and indexed.
- (Optional) Multiple collections of known sites that you want to include in the pipeline. The data is used in the form of a VCF file; you can use a VCF file compressed with bgzip and indexed.

The following steps compose the typical bioinformatics pipeline:

- 1. Remove duplicates: This step detects reads indicative that the same RNA molecules were sequenced several times. These duplicates are not informative and should not be counted as additional evidence.
- 2. Split reads at junction: This step splits the RNA reads into exon segments by getting rid of Ns while maintaining grouping information, and hard-clips any sequences overhanging into the intron regions. Additionally, the step will reassign the mapping qualities from STAR to be consistent with what is expected in subsequent steps by converting from quality 255 to 60.
- 3. Base quality score recalibration (BQSR): This step modifies the quality scores assigned to individual read bases of the sequence read data. This action removes experimental biases caused by the sequencing methodology.
- 4. Variant calling: This step identifies the sites where your data displays variation relative to the reference genome, and calculates genotypes for each sample at that site.

# 7.2 Step by step usage

The Remove duplicates stage, Indel realignment stage and Base quality score recalibration (BQSR) stages have identical usage to the DNA seq stages; for these stages, you can refer to Section 3.2 for detailed usage instructions.

#### 7.2.1 Split reads at junction

A single command is run to perform the splitting of reads into exon segments and reassigning the mapping qualities from STAR.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i DEDUPED_BAM \
--algo RNASplitReadsAtJunction --reassign_mapq 255:60 SPLIT_BAM
```

The following inputs are required for the command:

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- **DEDUPED\_BAM**: the location where the previous deduping stage stored the result.
- **SPLIT\_BAM**: the location and filename of the BAM output file containing the split reads. A corresponding index file (.bai) will be created.

# 7.2.2 RNA Variant calling

A single command is run to call variants and additionally apply the BQSR calculated before. The RNA variant calling can be done using either the Haplotyper algorithm or the DNAscope algorithm. For the command you should use the option <code>--trim\_soft\_clip</code> and a lower minimum phred-scaled confidence threshold than for DNAseq variant calling, which means you should set <code>call\_conf</code> to 20 and <code>emit\_conf</code> to 20 instead of the default of 30.

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i SPLIT_BAM \
-q RECAL_DATA.TABLE --algo Haplotyper --trim_soft_clip \
--call_conf 20 --emit_conf 20 [-d dbSNP] VARIANT_VCF
```

If you want to use DNAscope for the calling, the command would be:

```
sentieon driver -t NUMBER_THREADS -r REFERENCE -i SPLIT_BAM \
-q RECAL_DATA.TABLE --algo DNAscope --trim_soft_clip \
--call_conf 20 --emit_conf 20 [-d dbSNP] VARIANT_VCF
```

- **NUMBER\_THREADS**: the number of computer threads that will be used in the calculation. We recommend that the number does not exceed the number of computing cores available in your system.
- **REFERENCE**: the location of the reference FASTA file. You should make sure that the reference is the same as the one used in the mapping stage.
- SPLIT BAM: the location where the previous RNASplitReadsAtJunction stage stored the result.
- **RECAL DATA.TABLE**: the location where the previous BQSR stage stored the result.

• VARIANT\_VCF: the location and filename of the variant calling output file. A corresponding index file (.idx) will be created. The tool will output a compressed file by using .gz extension.

The following inputs are optional for the command:

• **dbSNP**: the location of the Single Nucleotide Polymorphism database (dbSNP) that will be used to label known variants. You can only use one dbSNP file.

# Detailed usage of the tools

# 8.1 DRIVER binary

DRIVER is the binary used to execute all stages of the bioinformatics pipeline. A single call to the driver binary can run multiple algorithms; for example, the metrics stage is implemented as a single command call to driver running multiple algorithms.

## 8.1.1 DRIVER syntax

The general syntax of the DRIVER binary is:

```
sentieon driver OPTIONS --algo ALGORITHM ALGO_OPTION OUTPUT \
[--algo ALGORITHM2 ALGO_OPTION2 OUTPUT2]
```

In the case of running multiple algorithms in the same driver call, the OPTIONS are shared among all the algorithms.

The arguments (OPTIONS) for this command include:

- -t NUMBER\_THREADS: number of computing threads that will be used by the software to run parallel
  processes. The argument is optional; if omitted, the driver tool will use as many threads as the server
  has.
- -r REFERENCE: location of the reference FASTA file. This argument is required in all algorithms except LocusCollector and Dedup.
- -i INPUT\_FILE: location of the BAM input file. This argument can be used multiple times to have the software use multiple input files and the result would be the same as if the input BAM files are merged into one BAM file. This argument is required in all algorithms except for CollectVCMetrics, GVCFtyper, DNAModelApply, SVSolver, TNModelApply, TNModelTrain, VarCal and ApplyVarCal.
- -q QUALITY\_RECALIBRATION\_TABLE: location of the quality recalibration table output from the BQSR stage that will be used as an input during the BQSR stage and Variant Calling stage. This argument can be used multiple times to have the software use multiple input calibration tables; each calibration table will apply a recalibration to BAM files by matching the readgroup of the reads
- --interval INTERVAL: interval in the reference that will be used in the software. This argument can be used multiple times to perform the calculation on the union of all the intervals. INTERVAL can be specified as:

- CONTIG[:START-END]: calculation will be done only on the corresponding contig. START and END are optional numbers to further reduce the interval; both are first-base-1 based. You can input a comma-separated list of multiple contigs.
- BED\_FILE: location of the BED file containing the intervals.
- PICARD\_INTERVAL\_FILE: location of the file containing the intervals, following the Picard interval standard.
- --interval\_padding PADDING\_SIZE: adds PADDING\_SIZE bases padding to the edges of the input intervals. The default is 0.
- --help: option to display help. The option can be used together with the --algo ALGORITHM to display help for the specific algorithm.
- --read\_filter FILTER,OPTION=VALUE,OPTION=VALUE: perform a filter or transformation of reads prior to the application of the algorithm. Please refer to Section 8.1.3 to get additional information on the available filters and their functionality.
- --temp\_dir DIRECTORY: determines where the temporary files will be stored. The default is the folder where the command is run (\$PWD).
- --skip\_no\_coor: determines whether to skip unmapped reads.
- --cram\_read\_options decode\_md=0: CRAM input option to turn off the NM/MD tag in the input CRAM.
- --replace\_rg ORIG\_RG="NEW\_RG\_STRING": modifies the @RG Read group tag of the next BAM input file to update the ORIG\_RG with the new information; the NEW\_RG\_STRING needs to be a valid and complete string. This argument can be used multiple times, and each will affect the next -i BAM input. You can check Section 9.8 for a detail example on its usage

The supported algorithms (ALGORITHM) for this command are:

- LocusCollector, Dedup: used in the remove duplicates stage.
- Realigner: used in the indel realignment stage.
- QualCal: used in the base quality recalibration stage.
- MeanQualityByCycle, QualDistribution, GCBias, AlignmentStat, InsertSizeMetricAlgo, HsMetricAlgo, CoverageMetrics, BaseDistributionByCycle, QualityYield, WgsMetricsAlgo, SequenceArtifactMetricsAlgo: used to calculate QC metrics.
- Genotyper, Haplotyper: used for germline variant calling analysis.
- DNAscope and DNAModelApply: used in DNAscope germline variant calling analysis.
- DNAscope and SVsolver: used in the DNAscope germline structural variant analysis.
- ReadWriter: used to output the BAM file after base quality recalibration or merge multiple BAM files.
- VarCal, ApplyVarCal: used in the VQSR stage.
- GVCFtyper: used for germline joint variant calling analysis.
- TNsny, TNhaplotyper, TNhaplotyper2: used for somatic tumor-normal or tumor only analysis.
- TNscope, TNModelApply: used for somatic tumor-normal somatic and structural variant analysis.
- RNASplitReadsAtJunction: used in the stage to split RNA reads at junctions.
- ContaminationAssessment: used to identify cross-sample contamination from BAM files.
- CollectVCMetrics: used to calculate post-variant calling metrics.

# 8.1.2 DRIVER ALGORITHM syntax

#### 8.1.2.1 LocusCollector ALGORITHM

The LocusCollector algorithm collects read information that will be used for removing duplicate reads.

The input to the LocusCollector algorithm is a BAM file; its output is the score file indicating which reads are likely duplicates.

The LocusCollector algorithm requires the following ALGO OPTION:

- -- fun SCORE: scoring function to use. Possible values for SCORE are:
  - score\_info: calculates the score of a read pair for the Dedup algorithm. This is the default score function.

#### 8.1.2.2 Dedup ALGORITHM

The Dedup algorithm performs the removing of duplicate reads.

The input to the Dedup algorithm is a BAM file; its output is the BAM file after removing duplicate reads.

The Dedup algorithm requires the following ALGO\_OPTION:

• --score\_info LOCUS\_COLLECTOR\_OUTPUT: location of the output file of the LocusCollector command call.

The Dedup algorithm accepts the following optional ALGO\_OPTION:

- --rmdup: set this option to remove duplicated reads in the output BAM file. If this option is not set, the duplicated read will be marks as such with a flag, but not removed from the BAM file.
- --cram\_write\_options compressor=[gzip|bzip2|lzma|rans]: CRAM output compression options. compressor=gzip is default if not defined.
- --cram\_write\_options version=[2.1|3.0]: CRAM output version options. version=3.0 is default if not defined.
- --metrics METRICS\_FILE: location and filename of the output file containing the metrics data from the deduping stage.
- --optical\_dup\_pix\_dist DISTANCE: determine the maximum distance between two duplicate reads for them to be considered optical duplicates. The default is 100.
- --bam\_compression COMPRESSION\_LEVEL[0-9]: gzip compression level for the output BAM file. The default value is 6.
- --output\_dup\_read\_name: when using this option, the output of the command will not be a BAM file with marked/removed duplicates, but a list of read names for reads that were marked as duplicate.
- --dup\_read\_name DUPLICATE\_READ\_NAME\_FILE: when using this input all reads contained in the DU-PLICATE\_READ\_NAME\_FILE will be marked as duplicate, regardless of whether they are primary or non-primary.

#### 8.1.2.3 Realigner ALGORITHM

The Realigner algorithm performs the indel realignment.

The input to the Realigner algorithm is a BAM file; its output is the BAM file after realignment.

The Realigner algorithm accepts the following optional ALGO OPTION:

- -k KNOWN\_SITES: location of the VCF file used as a set of known sites. The known sites will be used to help identify likely sites where the realignment is necessary; only indel variants in the file will be used. You can include multiple collections of known sites by specifying multiple files and repeating the -k KNOWN\_SITES option.
- --interval\_list INTERVAL: interval in the reference that will be used in the calculation of the realign targets. Only a single input INTERVAL will be considered: if you repeat the -interval\_list option, only the INTERVAL in the last one will be considered. INTERVAL can be specified as:
  - BED FILE: location of the BED file containing the intervals.
  - PICARD\_INTERVAL\_FILE: location of the file containing the intervals, following the Picard interval standard.
- --bam\_compression COMPRESSION\_LEVEL[0-9]: gzip compression level for the output BAM file. The default value is 6.
- --cram\_write\_options compressor=[gzip|bzip2|lzma|rans]: CRAM output compression options. compressor=gzip is default if not defined.
- --cram\_write\_options version=[2.1|3.0]: CRAM output version options. version=3.0 is default if not defined.

#### 8.1.2.4 QualCal ALGORITHM

The QualCal algorithm calculates the recalibration table necessary to do the BQSR. The QualCal algorithm also applies the recalibration to calculate the data required to create a report, and creates the data required to create a report.

The recalibration math depends on platform (PL) tag of the ReadGroup; the QualCal algorithm supports the following platforms: ILLUMINA, ION\_TORRENT, LS454, PACBIO, COMPLETE\_GENOMICS, Support for sequencing data from the SOLID platform is not currently implemented.

The input to the QualCal algorithm is a BAM file; its output is a recalibration table or the csv file containing the data required to create a report.

The QualCal algorithm accepts the following optional ALGO OPTION:

- -k KNOWN\_SITES: location of the VCF file used as a set of known sites. The known sites will be used to make sure that known locations do not get artificially low quality scores by misidentifying true variants errors in the sequencing methodology. You can include multiple collections of known sites by specifying multiple files and repeating the -k KNOWN\_SITES option. We strongly recommend using as many known sites as possible, as otherwise the recalibration will consider variant sites to be sequencing errors.
- --plot: indicates whether the command is being used to generate the data required to create a report.
- --cycle\_val\_max: maximum allowed cycle value for the cycle covariate.
- --before RECAL\_TABLE: location of the previously calculated recalibration table; it will be used to apply
  the recalibration.
- --after RECAL\_TABLE.POST: location of the previously calculated results of applying the recalibration table; it will be used to calculate the data required to create a report.

#### 8.1.2.5 MeanQualityByCycle ALGORITHM

The MeanQualityByCycle algorithm calculates the mean base quality score for each sequencing cycle.

The input to the MeanQualityByCycle algorithm is a BAM file; its output is the metrics data.

The MeanQualityByCycle algorithm does not accept any ALGO\_OPTION.

#### 8.1.2.6 QualDistribution ALGORITHM

The QualDistribution algorithm calculates the number of bases with a specific base quality score.

The input to the QualDistribution algorithm is a BAM file; its output is the metrics data.

The QualDistribution algorithm does not accept any ALGO\_OPTION.

#### 8.1.2.7 GCBias ALGORITHM

The GCBias algorithm calculates the GC bias in the reference and the sample.

The input to the GCBias algorithm is a BAM file; its output is the metrics data.

The GCBias algorithm accepts the following optional ALGO OPTION:

- --summary SUMMARY\_FILE: location and filename of the output file summarizing the GC Bias metrics.
- --accum\_level LEVEL: determines the accumulation levels. The possible values of LEVEL are ALL READS, SAMPLE, LIBRARY, READ GROUP. The default is ALL READS.
- --also\_ignore\_duplicates: determines whether the output metrics will be calculated using unique non duplicated reads.

#### 8.1.2.8 HsMetricAlgo ALGORITHM

The HsMetricAlgo algorithm calculates the Hybrid Selection specific metrics for the sample and the AT/GC dropout metrics for the reference.

The input to the HsMetricAlgo algorithm is a BAM file; its output is the metrics data.

The HsMetricAlgo algorithm requires the following ALGO OPTION:

- --targets\_list TARGETS\_FILE: location and filename of the interval list input file that contains the locations of the targets.
- --baits\_list TARGETS\_FILE: location and filename of the interval list input file that contains the locations of the baits used.
- --clip\_overlapping\_reads: determines whether to clip overlapping reads during the calculation.
- --min\_map\_qual QUALITY: determines the filtering quality of the reads used. Any reads with mapping quality less than QUALITY will be filtered out.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored.
- --coverage\_cap COVERAGE: determines the maximum coverage limit used in the histogram.

#### 8.1.2.9 AlignmentStat ALGORITHM

The AlignmentStat algorithm calculates statistics about the alignment of the reads.

The input to the AlignmentStat algorithm is a BAM file; its output is the metrics data.

The AlignmentStat algorithm accepts the following optional ALGO\_OPTION:

• --adapter\_seq SEQUENCE\_LIST: the sequence of the adapters used in the sequencing, provided as a comma separated list. The default value is the list of default Illumina adapters.

#### 8.1.2.10 InsertSizeMetricAlgo ALGORITHM

The InsertSizeMetricAlgo algorithm calculates the statistical distribution of insert sizes.

The input to the InsertSizeMetricAlgo algorithm is a BAM file; its output is the metrics data.

The InsertSizeMetricAlgo algorithm does not accept any ALGO\_OPTION.

#### 8.1.2.11 CoverageMetrics ALGORITHM

The CoverageMetrics algorithm calculates the depth coverage of the BAM file. The coverage is aggregated by interval if the --interval option is included at the driver level; if no --interval option is included, the aggregation per interval will be done per contig for all bases in the reference and the per locus coverage output file will be about 60GB. The coverage is aggregated by gene if a RefSeq file is included.

The input to the CoverageMetrics algorithm is a BAM file, it is recommended to use the after Deduplication BAM file for this analysis; its outputs are files containing the metrics data organized by partition, aggregation and output. Possible outputs are:

- summary: contains the depth data.
- statistics: contains the histogram of loci with specific depth.
- cumulative coverage counts: contains the histogram of loci with depth larger than x.
- cumulative\_coverage\_proportions: contains the normalized histogram of loci with depth larger than x.

Examples of output files when the output name is OUTPUT:

- OUTPUT: the per locus coverage with no partition.
- OUTPUT.sample\_summary: the summary for PARTITION\_GROUP sample, aggregated over all bases.
- OUTPUT. library\_interval\_statistics: the statistics for PARTITION\_GROUP library, aggregated by interval.

The CoverageMetrics algorithm accepts the following optional ALGO OPTION:

- --partition PARTITION\_GROUP: determine how to partition the data. Possible values are readgroup or a comma separated combination of the RG attributes, namely sample, platform, library, center. The default value is "sample". You can include multiple partition groups by repeating the -partition option, and each ouput file will be created once per --partition option.
- --gene\_list REFSEQ\_FILE: location of the RefSeq file used to aggregate the results of the Coverage-Metrics algorithm to the gene level.
- Filtering options:
  - --min\_map\_qual MAP\_QUALITY: determines the filtering quality of the reads used. Any reads with mapping quality less than QUALITY will be filtered out.
  - --max\_map\_qual MAP\_QUALITY: determines the filtering quality of the reads used. Any reads with mapping quality larger than QUALITY will be filtered out.
  - --min\_base\_qual QUALITY: determines the filtering quality of the bases used. Any base with quality less than QUALITY will be ignored.
  - --max\_base\_qual QUALITY: determines the filtering quality of the bases used. Any base with quality larger than QUALITY will be ignored.
  - --cov\_thresh THRESHOLD: add percentage of bases in the aggregation that have coverage larger than the threshold. You can include multiple thresholds by repeating the --cov\_thresh argument.
- Omit output options:

- --omit\_base\_output: skip the output of the per locus coverage with no partition. This option can be used when you do not use intervals to save space.
- --omit\_sample\_stat: skip the output of summary results aggregated over all bases (\_summary)
- --omit\_locus\_stat: skip the output of all histogram files (both \_cumulative\_coverage\_counts and cumulative coverage proportions).
- --omit\_interval\_stat: skip the output of all interval statistics files (interval statistics).
- --count\_type TYPE: determines how to deal with overlapping reads from the same fragment. Possible options are:
  - 0: to count overlapping reads even if they come from the same fragment. This is the default value.
  - 1: to count overlapping reads
  - 2: to count overlapping reads only if the reads in the fragment have consistent bases.
- --print\_base\_counts: include the number of "AGCTND" in the output per locus coverage with no partition.
- --include\_ref\_N: include the coverage data in loci where the reference genome is set to N.
- --ignore\_del\_sites: ignore the coverage data in loci where there are deletions.
- --include\_del: this argument will interact with others as follows:
  - if ignore\_del\_sites is off, count Deletion as depth
  - if print base counts is on, include number of 'D'
- --histogram\_scale [log/linear] --histogram\_low MIN\_DEPTH, --histogram\_high MAX\_DEPTH, --histogram\_bin\_count NUM\_BINS: determine the scale type, bin and sizes for histograms. The default values are log, 1, 500, 499.

#### 8.1.2.12 CollectVCMetrics ALGORITHM

The CollectVCMetrics algorithm collects metrics related to the variants present in the input VCF.

The input to the CollectVCMetrics algorithm is a VCF file and a DBSNP file; its output is a pair of files containing information about the variants from the VCF file.

The CollectVCMetrics algorithm requires the following ALGO OPTION:

- -d dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP). Only one file is supported.
- -v INPUT: location of the VCF file on which the metrics will be calculated. Only one file is supported.

# 8.1.2.13 BaseDistributionByCycle

The BaseDistributionByCycle algorithm calculates the nucleotide distribution per sequencer cycle.

The input to the BaseDistributionByCycle algorithm is a BAM file; its output is the metrics data.

The BaseDistributionByCycle algorithm accepts the following optional ALGO\_OPTION:

- --aligned\_reads\_only: determines whether to calculate the base distribution over aligned reads only.
- --pf\_reads\_only: determines whether to calculate the base distribution over PF reads only.

#### 8.1.2.14 QualityYield

The QualityYield algorithm collects metrics related to reads that pass quality thresholds and Illumina-specific filters.

The input to the QualityYield algorithm is a BAM file; its output is the metrics data.

The QualityYield algorithm accepts the following optional ALGO OPTION:

- --include\_secondary: determines whether to include bases from secondary alignments in the calculation.
- --include\_supplementary: determines whether to include bases from supplementary alignments in the calculation.

## 8.1.2.15 WgsMetricsAlgo

The WgsMetricsAlgo algorithm collects metrics related to the coverage and performance of whole genome sequencing (WGS) experiments.

The input to the WgsMetricsAlgo algorithm is a BAM file; its output is the metrics data.

The WgsMetricsAlgo algorithm accepts the following optional ALGO\_OPTION:

- --min\_map\_qual QUALITY: determines the filtering quality of the reads used in the calculation. Any read with quality less than QUALITY will be ignored. The default value is 20.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in the calculation. Any base with quality less than QUALITY will be ignored. The default value is 20.
- --coverage\_cap COVERAGE: determines the maximum coverage limit for the histogram. Any position with coverage higher than COVERAGE will have its coverage set to COVERAGE.
- --sample\_size SIZE: determines the Sample Size used for the Theoretical Het Sensitivity sampling. The default value is 10000.
- --include\_unpaired: determines whether to count unpaired reads and paired reads with one end unmapped.
- --base\_qual\_histogram: determines whether to report the base quality histogram.

#### 8.1.2.16 SequenceArtifactMetricsAlgo

The SequenceArtifactMetricsAlgo algorithm collects metrics that quantify single-base sequencing artifacts and OxoG artifacts.

The input to the SequenceArtifactMetricsAlgo algorithm is a BAM file; its output is the metrics data.

The SequenceArtifactMetricsAlgo algorithm accepts the following optional ALGO OPTION:

- --dbsnp FILE: location of the Single Nucleotide Polymorphism database (dbSNP) used to exclude regions around known polymorphisms. Only one file is supported.
- --min\_map\_qual QUALITY: determines the filtering quality of the reads used in the calculation. Any read with quality less than QUALITY will be ignored. The default value is 30.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in the calculation. Any base with quality less than QUALITY will be ignored. The default value is 20.
- --include\_unpaired: determines whether to count unpaired reads and paired reads with one end unmapped.

- --include\_duplicates: determines whether to count duplicated reads.
- --include\_non\_pf\_reads: determines whether to count non-PF reads.
- --min\_insert\_size ISIZE: determines the filtering insert size of the reads used in the calculation. Any read with insert size less than ISIZE will be ignored. The default value is 60.
- --max\_insert\_size ISIZE: determines the filtering insert size of the reads used in the calculation. Any read with insert size larger than ISIZE will be ignored. The default value is 600.
- --tandem\_reads: determines whether the mate pairs are being sequenced from the same strand.
- --context\_size SIZE: determined the number of context bases to include on each side. The default value is 1.

#### 8.1.2.17 Genotyper ALGORITHM

The Genotyper algorithm performs the Unified Genotyper variant calling.

The input to the Genotyper algorithm is a BAM file; its output is a VCF file.

The Genotyper algorithm accepts the following optional ALGO OPTION:

- --annotation 'ANNOTATION\_LIST': determines additional annotations that will be added to the output VCF. Use a comma separated list to enable or disable annotations. Include 'none' to remove the default annotations; prefix annotations with the exclamation point (!) to disable the specific annotation. See Section 9.3 for supported annotations.
- -d dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP) used to label known variants. Only one file is supported.
- --var\_type VARIANT\_TYPE: determine which variant types will be called; possible values for VARIANT TYPE are:
  - SNP to call only Single Nucleotide Polymorphism. This is the default behavior.
  - INDEL to call only insertion-deletions.
  - both to call both SNPs and INDELs.
- --call\_conf CONFIDENCE: determine the threshold of variant quality to call a variant. Variants with quality less than CONFIDENCE will be removed.
- --emit\_conf CONFIDENCE: determine the threshold of variant quality to emit a variant. Variants with quality less than CONFIDENCE will be not be added to the output VCF file.
- --emit\_mode MODE: determines what calls will be emitted. Possible values for MODE are:
  - variant: emit calls only at confident variant sites. This is the default behavior.
  - confident: emit calls at confident variant sites or confident reference sites.
  - all: emit all calls, regardless of their confidence.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored. The default value is 17.
- --ploidy PLOIDY: determines the ploidy number of the sample being processed. The default is 2.
- --given GIVEN\_VCF: perform variant calling using only the variants provided in the GIVEN\_VCF. The calling will only evaluate the locus and alleles provided in the file.

• --genotype\_model MODEL: determines which model to use for genotyping and QUAL calculation. MODEL can be coalescent to use the "so called exact model" based on the coalescent theory in population genetics or multinomial to use the simplified model that assumes variants are independent; the default is coalescent.

#### 8.1.2.18 Haplotyper ALGORITHM

The Haplotyper algorithm performs the Haplotype variant calling.

The input to the Haplotyper algorithm is a BAM file; its output is a VCF file.

The Haplotyper algorithm accepts the following optional ALGO OPTION:

- --annotation 'ANNOTATION\_LIST': determines additional annotations that will be added to the output VCF. Use a comma separated list to enable or disable annotations. Include 'none' to remove the default annotations; prefix annotations with the exclamation point (!) to disable the specific annotation. See Section 9.3 for supported annotations.
- -d dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP) used to label known variants. Only one file is supported.
- --call\_conf CONFIDENCE: determine the threshold of variant quality to call a variant. Variants with quality less than CONFIDENCE will be removed. This option is ignored when the --emit\_mode is gvcf.
- --emit\_conf CONFIDENCE: determine the threshold of variant quality to emit a variant. Variants with quality less than CONFIDENCE will be not be added to the output VCF file. This option is ignored when the --emit\_mode is gvcf.
- --emit\_mode MODE: determines what calls will be emitted. Possible values for mode are:
  - variant: emit calls only at confident variant sites. This is the default behavior.
  - confident: emit calls at confident variant sites or confident reference sites.
  - all: emit all calls, regardless of their confidence.
  - gvcf: emits additional information required for joint calling. This option is required if you want to perform joint calling using the GVCFtyper algorithm.
- --gq\_bands LIST\_OF\_BANDS: determines the bands that will be used to compress variants of similar genotype quality (GQ) that will be emitted as a single VCF record in the GVCF output file. The LIST\_OF\_BANDS is a comma-separated list of bands where each band is defined by START-END/STEP. The default is 1-60,60-99/10,99.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored. The default value is 10.
- --pcr\_indel\_model MODEL: PCR indel model used to weed out false positive indels more or less aggressively. The possible MODELs are: NONE (used for PCR free samples), and HOSTILE, AGGRESSIVE and CONSERVATIVE, in order of decreasing aggressiveness. The default value is CONSERVATIVE.
- --phasing [1/0]: flag to enable or disable phasing in the output when using emit\_mode GVCF. The default value is 1 (on) and this flag has no impact when using an emit\_mode other than GVCF. Phasing is only calculated for diploid samples.
- --ploidy PLOIDY: determines the ploidy number of the sample being processed. The default is 2.
- --prune\_factor FACTOR: minimum pruning factor in local assembly; paths with fewer supporting kmers than FACTOR will be pruned from the graph. The default value is 2.
- --trim\_soft\_clip: determines whether soft clipped bases in the reads should be excluded from the variant calling. This argument is only recommended to process RNA reads.

- --given GIVEN\_VCF: perform variant calling using only the variants provided in the GIVEN\_VCF. The calling will only evaluate the locus and alleles provided in the file. This option cannot be used in conjunction with --emit\_mode gvcf.
- --bam\_output OUTPUT\_BAM: output a BAM file containing modified reads after the local reassembly done by the variant calling. This option should only be used in conjunction with a small bed file for troubleshooting purposes.
- --genotype\_model MODEL: determines which model to use for genotyping and QUAL calculation.
   MODEL can be coalescent to use the "so called exact model" based on the coalescent theory in population genetics or multinomial to use the simplified model that assumes variants are independent; the default is coalescent.

#### 8.1.2.19 ReadWriter ALGORITHM

The ReadWriter algorithm outputs the result of applying the Base Quality Score Recalibration to a file.

The ReadWriter algorithm can also merge BAM files, and/or convert them into cram files.

The input to the ReadWriter algorithm is one or multiple BAM files and one or multiple recalibration tables; its output is the BAM file after recalibration. If the output file extension is CRAM, a CRAM file will be created. If multiple input files were used, the output file will be the result of merging all the files.

The ReadWriter algorithm accepts the following optional ALGO\_OPTION:

- --bam\_compression COMPRESSION\_LEVEL[0-9]: gzip compression level for the output BAM file. The default value is 6.
- --cram\_write\_options compressor=[gzip|bzip2|lzma|rans]: CRAM output compression options. compressor=gzip is default if not defined.
- --cram\_write\_options version=[2.1|3.0]: CRAM output version options. version=3.0 is default if not defined.
- --read\_flag\_mask drop=[FLAG\_LIST\_drop]: read filtering settings to remove reads based on their flags. FLAG\_LIST is a '+' separated list of multiple Flag numbers or case insensitive Flag names. The default read\_flag\_mask is "pass=all,drop=0x0" as the ReadWriter algorithm allows all reads. For example, to write a BAM file that does not contain either duplicated reads or unmapped reads, you can use --read\_flag\_mask pass=all,drop=DUP+UNMAP. The table below is a reminder of the flag definition and their naming convention.

Flag#	Flag name	Description
0x1	PAIRED	paired-end (or multiple-segment) sequencing technology
0x2	PROPER_PAIR	each segment properly aligned according to the aligner
0x4	UNMAP	segment unmapped
0x8	MUNMAP	next segment in the template unmapped
0x10	REVERSE	SEQ is reverse complemented
0x20	MREVERSE	SEQ of the next segment in the template is reversed
0x40	READ1	the first segment in the template
0x80	READ2	the last segment in the template
0x100	SECONDARY	secondary alignment
0x200	QCFAIL	not passing quality controls
0x400	DUP	PCR or optical duplicate
0x800	SUPPLEMENTARY	supplementary alignment

We recommend running the ReadWriter algorithm at the same command call as one of the variant calls, to reduce overhead.

#### 8.1.2.20 GVCFtyper ALGORITHM

The GVCFtyper algorithm performs the joint variant calling of multiple samples, provided that each single sample has been previously processed using the Haplotyper algorithm with the option --emit\_mode gvcf.

The GVCFtyper algorithm has no input in the driver level other than the reference file, its output is a VCF containing the joint called variants for all samples.

The GVCFtyper algorithm requires the following ALGO OPTION:

- -v INPUT: location of the GVCF file from the variant calling algorithm performed on a single sample using the extra option --emit\_mode gvcf. You can include GVCF files from multiple samples by specifying multiple files and repeating the -v INPUT option. You can use VCF files compressed with bgzip and indexed.
- Alternatively, you can input a list of GVCF files at the end of the command after the output file. Thus, the following 2 commands are interchangeable:

```
sentieon driver -r REFERENCE --algo GVCFtyper \
  -v s1_VARIANT_GVCF -v s2_VARIANT_GVCF -v s3_VARIANT_GVCF VARIANT_VCF
sentieon driver -r REFERENCE --algo GVCFtyper \
  VARIANT_VCF s1_VARIANT_GVCF s2_VARIANT_GVCF s3_VARIANT_GVCF
```

• You can read in the GVCF file list from a text file using the following commands:

```
gvcf_argument=""
while read -r line; do
  gvcf_argument=$gvcf_argument" -v $line"
done < "list_of_gvcfs"
sentieon driver -r REFERENCE --algo GVCFtyper $gvcf_argument output-joint.vcf</pre>
```

• You can also read in the GVCF file list from a text file using the following command leveraging the stdin pipe (-):

```
cat list_of_gvcfs | sentieon driver -r REFERENCE --algo GVCFtyper output-joint.vcf -
```

• You could input all files from a specific folder using the following command:

```
sentieon driver -r REFERENCE --algo GVCFtyper output-joint.vcf sample*.g.vcf
```

The GVCFtyper algorithm accepts the following optional ALGO OPTION:

- --annotation 'ANNOTATION\_LIST': determines additional annotations that will be added to the output VCF. Use a comma separated list to enable or disable annotations. Include 'none' to remove the default annotations; prefix annotations with the exclamation point (!) to disable the specific annotation. See Section 9.3 for supported annotations.
- -d dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP) used to label known variants. Only one file is supported.
- --call\_conf CONFIDENCE: determine the threshold of variant quality to call a variant. Variants with quality less than CONFIDENCE will be removed. The default value is 30.
- --emit\_conf CONFIDENCE: determine the threshold of variant quality to emit a variant. Variants with quality less than CONFIDENCE will be not be added to the output VCF file. The default value is 30.
- --emit\_mode MODE: determines what calls will be emitted. Possible values for mode are:
  - variant: emit calls only at confident variant sites. This is the default behavior.
  - confident: emit calls at confident variant sites or confident reference sites.

- all: emit all calls, regardless of their confidence.
- --max\_alt\_alleles NUMBER: Maximum number of alternate alleles. The default value is 100.
- --genotype\_model MODEL: determines which model to use for genotyping and QUAL calculation.
   MODEL can be coalescent to use the "so called exact model" based on the coalescent theory in population genetics or multinomial to use the simplified model that assumes variants are independent; the default is coalescent.

#### 8.1.2.21 VarCal ALGORITHM

The VarCal algorithm calculates the Variant Quality Score Recalibration (VQSR). VQSR assigns a well-calibrated probability score to individual variant calls, to enable more accurate control in determining the most likely variants. For that, VQSR uses highly confident known sites to build a recalibration model and determine the probability that called sites are true. For more information about the algorithm, you can check http://gatkforums.broadinstitute.org/discussion/39/variant-quality-score-recalibration-vqsr. For information on the recommended resources to use in VQSR, you can check https://www.broadinstitute.org/gatk/guide/article?id=1259.

The VarCal algorithm has no input in the driver level other than the reference file, its output is a recalibration file containing additional annotations related to the VQSR.

The VarCal algorithm requires the following ALGO OPTION:

- -v INPUT: location of the VCF file from the variant calling algorithm; you can use a VCF file compressed with bgzip and indexed.
- --tranches\_file TRANCHES\_FILE: location and filename of the file containing the partition of the call sets into quality tranches.
- --resource RESOURCE\_FILE --resource\_param PARAM: location of the VCF file used as a training/truth resource in VQSR, followed by parameters determining how the file will be used. You can include multiple collections by specifying multiple files and repeating the --resource RESOURCE\_FILE --resource\_param PARAM option. The PARAM argument follows the syntax:

 ${\tt LABEL,known=IS\_KNOWN,training=IS\_TRAIN,truth=IS\_TRUTH,prior=PRIOR}$ 

- LABEL is a descriptive name for the resource.
- IS\_KNOWN can be true or false, and determines whether the sites contained in the resource will be used to stratify output metrics.
- **IS\_TRAIN** can be *true* or *false*, and determines whether the sites contained in the resource will be used for training the recalibration model.
- **IS\_TRUTH** can be *true* or *false*, and determines whether the sites contained in the resource will be considered true sites.
- PRIOR is a value that reflects your confidence in how reliable the resource is as a truth set.

The VarCal algorithm accepts the following optional ALGO OPTION:

- --srand RANDOM\_SEED: determines the seed to use in the random number generation. You can set RAN-DOM\_SEED to 0 and the software will use the random seed from your computer. In order to generate a deterministic result, you should use a non-zero RANDOM\_SEED and set the NUMBER\_THREADS to
- --annotation ANNOTATION: determine annotation that will be used during the recalibration. You can include multiple annotations in the optimization by repeating the --annotation ANNOTATION option. You can use all annotations present in the original variant call file.

- --var\_type VARIANT\_TYPE: determine which variant types will be recalibrated; possible values for VARIANT TYPE are:
  - SNP to recalibrate only Single Nucleotide Polymorphism. This is the default behavior.
  - INDEL to recalibrate only insertion-deletions.
  - (do not use) BOTH to recalibrate both SNPs and INDELs. This setting SHOULD NOT be used, as VOSR should be performed independently for SNPs and INDELs.
- --tranche TRANCH\_THRESHOLD: normalized quality threshold for each tranche; the TRANCH\_THRESHOLD number is a number between 0 and 100. Multiple instances of the option are allowed that will create as many tranches as there are thresholds. The default values are 90, 99, 99.9 and 100.
- --max\_gaussians MAX\_GAUSS: determines the maximum number of Gaussians that will be used for the positive recalibration model. The default value is 8 for SNP and 4 for INDEL.
- --max\_neg\_gaussians MAX\_GAUSS: determines the maximum number of Gaussians that will be used for the negative recalibration model. The default value is 2.
- --max\_iter MAX\_ITERATIONS: determines the maximum number of iterations for the Expectation Maximization (EM) optimization. The default value is 150.
- --max\_mq MAPQ: indicates the maximum MQ in your data, which will be used to perform a logit jitter transform of the MQ to make the distribution closer to a Gaussian.
- --aggregate\_data AGREGATE\_VCF: location of an additional VCF file containing variants called from other similar samples; these additional data will increase the effective sample size for the statistical model calibration. Multiple instances of the option are allowed.
- --plot\_file PLOT\_FILE: location of the temporary file containing the necessary data to generate the reports from the VarCal algorithm.

#### 8.1.2.22 ApplyVarCal ALGORITHM

The ApplyVarCal algorithm combines the output information from the VQSR with the original variant information.

The ApplyVarCal algorithm has no input in the driver level other than the reference file; its output is a copy of the original VCF containing additional annotations from the VQSR.

The ApplyVarCal algorithm requires the following ALGO OPTION:

- -v INPUT: location of the VCF file from the variant calling algorithm. It should be the same as the one used in the VarCal algorithm; you can use a VCF file compressed with bgzip and indexed.
- --recal VARIANT\_RECAL\_DATA: location of the VCF file output from the VarCal algorithm.
- --tranches\_file TRANCHES\_FILE: location of the tranches file output from the VarCal algorithm.
- --var\_type VARIANT\_TYPE: determine which variant types will be recalibrated. This option should be consistent with the one used in the VarCal algorithm.

Alternatively, you can use the option <code>--vqsr\_model</code> to input a comma-separated list of the required information for multiple VQSR models; this option allows you to apply both a SNP and INDEL mode in a single command line. The syntax of the option is:

```
--vqsr_model var_type=VARIANT_TYPE,\
    recal=VARIANT_RECAL_DATA,\
    tranches_file=TRANCHES_FILE,\
    sensitivity=SENSITIVITY
```

The ApplyVarCal algorithm accepts the following optional ALGO OPTION:

• --sensitivity SENSITIVITY: determine the sensitivity to the available truth sites; only tranches with threshold larger than the sensitivity will be included in the recalibration. We recommend you use a sensitivity number that is included in the tranche threshold list of VarCal algorithm; this will reduce rounding issues. The default value is NULL, so that no tranches filtering is applied, and only the LOW\_VQSLOD filter is applied.

#### 8.1.2.23 TNsnv ALGORITHM

The TNsnv algorithm performs the somatic variant calling on the tumor-normal matched pair or the tumor and panel of normal data, using a Genotyper algorithm.

The input to the TNsnv algorithm is a BAM file; its output is a VCF file.

The TNsnv algorithm requires the following ALGO OPTION:

• --tumor\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the tumor sample.

Depending on the mode it is run, the TNsnv algorithm may require the following ALGO\_OPTION:

- --normal\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the normal sample.
- --detect\_pon: indicates that you are using the TNsnv algorithm to create a VCF file that will be part of a panel of normal.
- --cosmic COSMIC\_VCF: location of the Catalogue of Somatic Mutations in Cancer (COSMIC) VCF file used to create the panel of normal file. Only one file is supported.
- --pon PANEL\_OF\_NORMAL\_VCF: location of the file containing the variants detected in the Panel of Normal analysis that will be used to remove false positives. Only one file is supported.

The TNsnv algorithm accepts the following optional ALGO\_OPTION:

- --dbsnp dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP). The variants in the dbSNP will be more likely to be marked as germline as they require more evidence of absence in the normal. Only one file is supported.
- --call\_stats\_out CALL\_STATS\_FILE: location and filename of the file containing the call stats information from the somatic variant calling.
- --stdcov\_out COVERAGE\_FILE: location and filename of the wiggle file containing the standard coverage.
- --tumor\_depth\_out TUMOR\_DEPTH\_FILE: location and filename of the wiggle file containing the depth of the tumor sample reads.
- --normal\_depth\_out NORMAL\_DEPTH\_FILE: location and filename of the wiggle file containing the depth of the normal sample reads.
- --power\_out POWER\_FILE: location and filename of the power file.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored. The default value is 5.
- --min\_init\_tumor\_lod NUMBER: minimum tumor log odds in the initial pass calling variants. The default value is 4.
- --min\_tumor\_lod NUMBER: minimum tumor log odds in the final call of variants. The default value is 6.3.
- --min\_normal\_lod NUMBER: minimum normal log odds used to check that the tumor variant is not a normal variant. The default value is 2.2.

- --contamination\_frac NUMBER: estimation of the contamination fraction from other samples. The default value is 0.02.
- --min\_cell\_mutation\_frac NUMBER: minimum fraction of cells which have mutation. The default value is 0.
- --min\_strand\_bias\_lod NUMBER: minimum log odds for calling strand bias. The default value is 2.
- --min\_strand\_bias\_power NUMBER: minimum power for calling strand bias. The default value is 0.9.
- --min\_dbsnp\_normal\_lod NUMBER: minimum log odds for calling normal non-variant at dbsnp sites. The default value is 5.5.
- --min\_normal\_allele\_frac NUMBER: minimum allele fraction to be considered in normal; this parameter is useful when the normal sample is contaminated with the tumor sample. The default value is 0.
- --min\_tumor\_allele\_frac NUMBER: minimum allelic fraction in tumor sample. The default value is 0.005.
- --min\_normal\_allele\_frac NUMBER: minimum allele fraction to be considered in normal; this parameter is useful when the normal sample is contaminated with the tumor sample. The default value is 0.
- --min\_tumor\_allele\_frac NUMBER: minimum allelic fraction in tumor sample. The default value is 0.005.
- --max\_indel NUMBER: maximum nearby indel events that are allowed. The default value is 3.
- --max\_read\_clip\_frac NUMBER: maximum fraction of soft/hard clipped bases in a read. The default value is 0.3.
- --max\_mapq0\_frac NUMBER: maximum ratio of reads whose mapq are 0 used to determine poor mapped area. The default value is 0.5.
- --min\_pir\_median NUMBER: minimum read position median. The default value is 10.
- --min\_pir\_mad NUMBER: minimum read position median absolute deviation. The default value is 3.
- --max\_alt\_mapq NUMBER: maximum value of alt allele mapping quality score. The default value is 20.
- --max\_normal\_alt\_cnt NUMBER: maximum alt alleles count in normal pileup. The default value is 2.
- --max\_normal\_alt\_qsum NUMBER: maximum quality score sum of alt allele in normal pileup. The default value is 20.
- --max\_normal\_alt\_frac NUMBER: maximum fraction of alt allele in normal pileup. The default value is 0.03.
- --power\_allele\_frac NUMBER: allele fraction used in power calculations. The default value is 0.3.

#### 8.1.2.24 TNhaplotyper ALGORITHM

The TNhaplotyper algorithm performs the somatic variant calling on the tumor-normal matched pair or the tumor and panel of normal data, using a Haplotyper algorithm.

The input to the TNhaplotyper algorithm is a BAM file; its output is a VCF file.

The TNhaplotyper algorithm requires the following ALGO OPTION:

• --tumor\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the tumor sample.

Depending on the mode it is run, the TNhaplotyper algorithm may require the following ALGO OPTION:

• --normal\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the normal sample.

- --detect\_pon: indicates that you are using the TNhaplotyper algorithm to create a VCF file that will be part of a panel of normal.
- --cosmic COSMIC\_VCF: location of the Catalogue of Somatic Mutations in Cancer (COSMIC) VCF file used to create the panel of normal file. Only one file is supported.
- --pon PANEL\_OF\_NORMAL\_VCF: location of the file containing the variants detected in the Panel of Normal analysis that will be used to remove false positives. Only one file is supported.

### The TNhaplotyper algorithm accepts the following optional ALGO\_OPTION:

- --dbsnp dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP). The variants in the dbSNP will be more likely to be marked as germline as they require more evidence of absence in the normal. Only one file is supported.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored. The default value is 10.
- --prune\_factor FACTOR: minimum pruning factor in local assembly; paths with fewer supporting kmers than FACTOR will be pruned from the graph. The default value is 2.
- --pcr\_indel\_model MODEL: PCR indel model used to weed out false positive indels more or less aggressively. The possible modes are: NONE (used for PCR free samples), and HOSTILE, AGGRESSIVE and CONSERVATIVE, in order of decreasing aggressiveness. The default value is HOSTILE.
- --phasing [1/0]: flag to enable or disable phasing in the output.
- --min\_init\_tumor\_lod NUMBER: minimum tumor log odds in the initial pass calling variants. The default value is 4.
- --min\_init\_normal\_lod NUMBER: minimum tumor log odds in the initial pass calling variants. The default value is 0.5.
- --min\_tumor\_lod NUMBER: minimum tumor log odds in the final call of variants. The default value is 6.3
- --min\_normal\_lod NUMBER: minimum normal log odds used to check that the tumor variant is not a normal variant. The default value is 2.2.
- --min\_strand\_bias\_lod NUMBER: minimum log odds for calling strand bias. The default value is 2.
- --min\_strand\_bias\_power NUMBER: minimum power for calling strand bias. The default value is 0.9.
- --min\_pir\_median NUMBER: minimum read position median. The default value is 10.
- --min\_pir\_mad NUMBER: minimum read position median absolute deviation. The default value is 3.
- --max\_normal\_alt\_cnt NUMBER: maximum alt alleles count in normal pileup. The default value is 2.
- --max\_normal\_alt\_qsum NUMBER: maximum quality score sum of alt allele in normal pileup. The default value is 20.
- --max\_normal\_alt\_frac NUMBER: maximum fraction of alt allele in normal pileup. The default value is 0.03.
- --tumor\_contamination\_frac NUMBER: estimation of the contamination fraction on the tumor sample from other samples. The default value is 0.
- --normal\_contamination\_frac NUMBER: estimation of the contamination fraction on the normal sample from other samples. The default value is 0.
- --filter\_clustered\_read\_position: filters variants that are clustered at the start or end of sequencing reads
- --filter strand bias: filters variants that show evidence of strand bias

- --bam\_output OUTPUT\_BAM: output a BAM file containing modified reads after the local reassembly done by the variant calling. This option should only be used in conjunction with a small bed file for troubleshooting purposes.
- --trim\_soft\_clip: determines whether soft clipped bases in the reads should be excluded from the variant calling.

#### 8.1.2.25 TNhaplotyper2 ALGORITHM

The TNhaplotyper2 algorithm performs the somatic variant calling on the tumor-normal matched pair or the tumor and panel of normal data, using a Haplotyper algorithm.

The input to the TNhaplotyper2 algorithm is a BAM file; its output is a VCF file.

The TNhaplotyper2 algorithm requires the following ALGO OPTION:

• --tumor\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the tumor sample.

Depending on the mode it is run, the TNhaplotyper2 algorithm may require the following ALGO OPTION:

- --normal\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the normal sample.
- --pon PANEL\_OF\_NORMAL\_VCF: location of the file containing the variants detected in the Panel of Normal analysis that will be used to remove false positives. Only one file is supported.

The TNhaplotyper2 algorithm accepts the following optional ALGO\_OPTION:

- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored. The default value is 10.
- --prune\_factor FACTOR: minimum pruning factor in local assembly; paths with fewer supporting kmers than FACTOR will be pruned from the graph. The default value is 2.
- --pcr\_indel\_model MODEL: PCR indel model used to weed out false positive indels more or less aggressively. The possible modes are: NONE (used for PCR free samples), and HOSTILE, AGGRESSIVE and CONSERVATIVE, in order of decreasing aggressiveness. The default value is CONSERVATIVE.
- --min\_init\_tumor\_lod NUMBER: minimum tumor log odds in the initial pass calling variants. The default value is 2.0.
- --min\_normal\_lod NUMBER: minimum normal log odds used to check that the tumor variant is not a normal variant. The default value is 2.2.
- --tumor\_contamination\_frac NUMBER: estimation of the contamination fraction on the tumor sample from other samples. The default value is 0.
- --normal\_contamination\_frac NUMBER: estimation of the contamination fraction on the normal sample from other samples. The default value is 0.
- --germline\_vcf VCF: location of the VCF containing the population allele frequency.
- --default\_af AF: determines the af value for alleles not found in the germline vcf. The default value is: 0.001.
- --max\_germline\_af AF: determines the maximum germline allele frequency in tumor-only model. The default value is 0.01.
- --call\_pon\_sites: determines whether to call candidate variants even if they are present in the Panel of Normal input.
- --bam\_output OUTPUT\_BAM: output a BAM file containing modified reads after the local reassembly done by the variant calling. This option should only be used in conjunction with a small bed file for troubleshooting purposes.

• --trim\_soft\_clip: determines whether soft clipped bases in the reads should be excluded from the variant calling.

#### 8.1.2.26 TNscope ALGORITHM

The TNscope algorithm performs the somatic variant calling on the tumor-normal matched pair or the tumor only data, using a Haplotyper algorithm.

The input to the TNscope algorithm is a BAM file; its output is a VCF file.

The TNscope algorithm requires the following ALGO\_OPTION:

• --tumor\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the tumor sample.

The TNscope algorithm accepts the following optional ALGO OPTION:

- --normal\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the normal sample. When doing tumor-only somatic calling, this argument is not required.
- --cosmic COSMIC\_VCF: location of the Catalogue of Somatic Mutations in Cancer (COSMIC) VCF file used to create the panel of normal file. Only one file is supported.
- --pon PANEL\_OF\_NORMAL\_VCF: location of the file containing the variants detected in the Panel of Normal analysis that will be used to remove false positives. Only one file is supported. This file is the same as the one used with TNhaplotyper.
- --dbsnp dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP). The variants in the dbSNP will be more likely to be marked as germline as they require more evidence of absence in the normal. Only one file is supported.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored. The default value is 15.
- --prune\_factor FACTOR: minimum pruning factor in local assembly; paths with fewer supporting kmers than FACTOR will be pruned from the graph. The default value is 2.
- --pcr\_indel\_model MODEL: PCR indel model used to weed out false positive indels more or less aggressively. The possible modes are: NONE (used for PCR free samples), and HOSTILE, AGGRESSIVE and CONSERVATIVE, in order of decreasing aggressiveness. The default value is HOSTILE.
- --phasing [1/0]: flag to enable or disable phasing in the output.
- --min\_init\_tumor\_lod NUMBER: minimum tumor log odds in the initial pass calling variants. The default value is 4.
- --min\_init\_normal\_lod NUMBER: minimum tumor log odds in the initial pass calling variants. The default value is 0.5.
- --min\_tumor\_lod NUMBER: minimum tumor log odds in the final call of variants. The default value is 6.3.
- --min\_normal\_lod NUMBER: minimum normal log odds used to check that the tumor variant is not a normal variant. The default value is 2.2.
- --tumor\_contamination\_frac NUMBER: estimation of the contamination fraction on the tumor sample from other samples. The default value is 0.
- --normal\_contamination\_frac NUMBER: estimation of the contamination fraction on the normal sample from other samples. The default value is 0.
- --given GIVEN\_VCF: perform variant calling using only the variants provided in the GIVEN\_VCF. The calling will only evaluate the locus and alleles provided in the file.

- --bam\_output OUTPUT\_BAM: output a BAM file containing modified reads after the local reassembly done by the variant calling. This option should only be used in conjunction with a small bed file for troubleshooting purposes.
- --disable\_detector DETECTOR: disable the variant calling for specific detectors: use 'sv' as DETECTOR to prevent calling of structural variants, and use 'snv\_indel' as DETECTOR to prevent calling of small variants.
- --trim\_soft\_clip: determines whether soft clipped bases in the reads should be excluded from the variant calling.

#### 8.1.2.27 RNASplitReadsAtJunction ALGORITHM

The RNASplitReadsAtJunction algorithm performs the splitting of reads into exon segments by getting rid of Ns but maintaining grouping information, and hard-clipping any sequences overhanging into the intron regions.

The input to the RNASplitReadsAtJunction algorithm is a BAM file; its output is a BAM file.

The RNASplitReadsAtJunction algorithm requires the following ALGO\_OPTION:

• --reassign\_mapq IN\_QUAL:OUT\_QUAL: the algorithm will reassign mapping qualities from IN\_QUAL to OUT\_QUAL. This argument is required because STAR assigns a quality of 255 to good alignments instead of the expected default score of 60.

The RNASplitReadsAtJunction algorithm accepts the following optional ALGO OPTION:

- --ignore\_overhang: determines whether to ignore and not fix the overhanging sections of the reads.
- --overhang\_max\_bases NUMBER: determines the maximum number of bases allowed in a hard-clipped overhang, so that if there are more bases in the overhang, the overhang will not be hard-clipped. The default value is 40.
- --overhang\_max\_mismatches NUMBER: determines the maximum number of mismatches allowed in a non-hard-clipped overhang, so that the complete overhang will be hard-clipped if the number of mismatches is too high. The default value is 1.
- --cram\_write\_options compressor=[gzip|bzip2|lzma|rans]: CRAM output compression options. compressor=gzip is default if not defined.
- --cram\_write\_options version=[2.1|3.0]: CRAM output version options. version=3.0 is default if not defined.

#### 8.1.2.28 ContaminationAssessment ALGORITHM

The ContaminationAssessment algorithm assesses the contamination present in a sample BAM file; the output of this algorithm can be used as the value of argument contamination\_frac, normal\_contamination\_frac and tumor contamination frac in the TNseq and TNscope tools.

The input to the ContaminationAssessment algorithm is a BAM file; its output is a text file.

The ContaminationAssessment algorithm requires the following ALGO OPTION:

- --pop\_vcf VCF\_FILE: the location of the VCF file containing the allele frequency information for the specific population of the sample.
- --genotype\_vcf VCF\_FILE: the location of the VCF file containing the DNAseq variants reported for the individual; to calculate the contamination in the tumor sample, you should use the DNAseq variants reported for the normal sample. You can create this file by using Haplotyper or Genotyper on the sample bam.

The ContaminationAssessment algorithm accepts the following optional ALGO OPTION:

- --type ASSESS\_TYPE: determines the type for the estimate. The possible values are SAMPLE, READ-GROUP and META to assess the contamination by sample, by lane, or in aggregate across all the reads. Multiple instances of the option are allowed that will assess the contamination at multiple levels. The default value is META.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in the contamination assessment. Any base with quality less than QUALITY will be ignored. The default value is 20.
- --min\_map\_qual QUALITY: determines the filtering quality of the reads used in the contamination assessment. Any read with quality less than QUALITY will be ignored. The default value is 20.
- --min\_basecount NUMBER: determines the minimum number of bases that need to be present at a locus before the contamination is assessed. The default value is 500.
- --trim\_thresh NUMBER: threshold that will be used to trim sites; if the probability of the contamination ratio being larger than 0.5 is larger than the threshold, the site will not be included in the contamination assessment. The default value is 0.95.
- --trim\_frac NUMBER: determines the maximum fraction of sites that may be trimmed based on the trim threshold. The default value is 0.01.
- --precision NUMBER: determines the PRECISION on the output percent number. The default value is 0.1.
- --base\_report FILE: location and filename of the output file that will contain an extended report about the processed data.
- --population POPULATION\_NAME: a population to use to determine the baseline allele frequency of the sample. The default value is CEU.

#### 8.1.2.29 TNModelApply ALGORITHM

The TNModelApply algorithm applies a Machine Learning model on the results of TNscope to help with variant filtration. This algorithm is only supported in the Linux version of the Sentieon Genomics software.

The TNModelApply algorithm has no input in the driver level, its output is a VCF file.

The TNModelApply algorithm requires the following ALGO\_OPTION:

- -v INPUT: location of the VCF file from the TNscope variant calling; you can use a VCF file compressed with bgzip and indexed.
- -m MODEL\_FILE: location of the file containing the Machine Learning model.

The TNModelApply algorithm modifies the input VCF file by adding the MLrejected FILTER to the variants; since the FILTER is added, you may want to remove any FILTERs already present in the input VCF, as they may no longer be relevant. You can use bcftools(https://samtools.github.io/bcftools/bcftools.html) for that purpose:

```
$BCF/bcftools annotate -x "^FILTER/MLrejected,FILTER/PASS" -0 z \
-o $OUTPUT.vcf.gz $INPUT.vcf.gz
```

#### 8.1.2.30 DNAscope ALGORITHM

The DNAscope algorithm performs an improved version of Haplotype variant calling.

The input to the DNAscope algorithm is a BAM file; its output is a VCF file.

The DNAscope algorithm accepts the following optional ALGO OPTION:

- --annotation 'ANNOTATION\_LIST': determines additional annotations that will be added to the output VCF. Use a comma separated list to enable or disable annotations. Include 'none' to remove the default annotations; prefix annotations with the exclamation point (!) to disable the specific annotation. See Section 9.3 for supported annotations.
- -d dbSNP\_FILE: location of the Single Nucleotide Polymorphism database (dbSNP) used to label known variants. Only one file is supported.
- --var\_type VARIANT\_TYPE: determine which variant types will be called; VARIANT\_TYPE is a comma separated list of the following possible values:
  - SNP to call Single Nucleotide Polymorphism. This is included in the default behavior.
  - INDEL to call insertion-deletions. This is included in the default behavior.
  - BND to call break-end information required for the structural variant caller.
- --call\_conf CONFIDENCE: determine the threshold of variant quality to call a variant. Variants with quality less than CONFIDENCE will be removed.
- --emit\_conf CONFIDENCE: determine the threshold of variant quality to emit a variant. Variants with quality less than CONFIDENCE will be not be added to the output VCF file.
- --emit\_mode MODE: determines what calls will be emitted. Possible values for mode are:
  - variant: emit calls only at confident variant sites. This is the default behavior.
  - confident: emit calls at confident variant sites or confident reference sites.
  - all: emit all calls, regardless of their confidence.
  - gvcf: emits additional information required for joint calling. This option is required if you want to perform joint calling using the GVCFtyper algorithm.
- --gq\_bands LIST\_OF\_BANDS: determines the bands that will be used to compress variants of similar genotype quality (GQ) that will be emitted as a single VCF record in the GVCF output file. The LIST\_OF\_BANDS is a comma-separated list of bands where each band is defined by START-END/STEP. The default is 1-60,60-99/10,99.
- --min\_base\_qual QUALITY: determines the filtering quality of the bases used in variant calling. Any base with quality less than QUALITY will be ignored. The default value is 10.
- --pcr\_indel\_model MODEL: PCR indel model used to weed out false positive indels more or less aggressively. The possible MODELs are: NONE (used for PCR free samples), and HOSTILE, AGGRESSIVE and CONSERVATIVE, in order of decreasing aggressiveness. The default value is CONSERVATIVE.
- --phasing [1/0]: flag to enable or disable phasing in the output when using emitmode GVCF. The default value is 1 (on) and this flag has no impact when using an emitmode other than GVCF. Phasing is only calculated for diploid samples.
- --ploidy PLOIDY: determines the ploidy number of the sample being processed. The default is 2.
- --prune\_factor FACTOR: minimum pruning factor in local assembly; paths with fewer supporting kmers than FACTOR will be pruned from the graph. The default value is 2.
- --trim\_soft\_clip: determines whether soft clipped bases in the reads should be excluded from the variant calling. This argument is only recommended to process RNA reads.
- --given GIVEN\_VCF: perform variant calling using only the variants provided in the GIVEN\_VCF. The calling will only evaluate the locus and alleles provided in the file. This option cannot be used in conjunction with --emit\_mode gvcf.

- --bam\_output OUTPUT\_BAM: output a BAM file containing modified reads after the local reassembly done by the variant calling. This option should only be used in conjunction with a small bed file for troubleshooting purposes.
- -- filter\_chimeric\_reads: determines whether chimeric reads will be used when calling variants. The default is to include chimeric reads only if the var\_type BND is set.
- --model MODEL\_FILE: the location of the machine learning model file that will be used with the DNAModelApply tool; the model will be used to determine the settings used in variant calling.

### 8.1.2.31 DNAModelApply ALGORITHM

The DNAModelApply algorithm performs the second step of variant calling using DNAscope. This algorithm is only supported in the Linux version of the Sentieon Genomics software.

The DNAModelApply algorithm has no input in the driver level, its output is a VCF containing the variants.

The DNAModelApply algorithm requires the following ALGO OPTION:

- -v INPUT: location of the VCF file from the DNAscope variant calling algorithm performed with an input model file determining the correct settings. You can use VCF files compressed with bgzip and indexed.
- -m MODEL\_FILE: the location of the machine learning model file; this file should be the same as the one used in the DNAscope command to generate the input VCF.

The DNAModelApply algorithm does not support any optional ALGO OPTION.

The DNAModelApply algorithm modifies the input VCF file by adding the MLrejected FILTER to the variants; since the FILTER is added, you may want to remove any FILTERs already present in the input VCF, as they may no longer be relevant. You can use bcftools(https://samtools.github.io/bcftools/bcftools.html) for that purpose:

```
$BCF/bcftools annotate -x "^FILTER/MLrejected,FILTER/PASS" -0 z \
-o $OUTPUT.vcf.gz $INPUT.vcf.gz
```

#### 8.1.2.32 SVSolver ALGORITHM

The SVSolver algorithm performs the structural variant calling of a sample, provided that the sample has been previously processed using the DNAscope algorithm with the option --var\_type bnd.

The SVSolver algorithm has no input in the driver level, its output is a VCF containing the called structural variants.

The SVSolver algorithm requires the following ALGO OPTION:

• -v INPUT: location of the VCF file from the DNAscope variant calling algorithm performed on a sample using the option --var\_type bnd. You can use VCF files compressed with bgzip and indexed.

The SVSolver algorithm does not support any optional ALGO\_OPTION.

#### 8.1.3 DRIVER read filter options

The --read\_filter argument of the DRIVER binary allows to filter or transform reads from the input BAM file before performing the calculation.

The syntax for the argument is: --read\_filter FILTER,OPTION=VALUE,OPTION=VALUE,...

#### 8.1.3.1 QualCalFilter read filter

The QualCalFilter read filter is used to transform reads and perform base quality score recalibration while modifying the information contained in the recalibration table.

The QualCalFilter read filter requires one of the following OPTION:

- table=TABLE\_FILEPATH: the location of the recalibration table that will be used as the basis to perform the base quality score recalibration.
- use\_oq=[true/false]: determines whether to use the original base quality scores contained in the OQ tag in the BAM file. This option cannot be used in conjunction with the table option.

The QualCalFilter read filter accepts the following optional OPTION:

- prior=PRIOR: determines the global bias for all the base quality scores.
- min\_qual=QUAL: determines the quality threshold to perform recalibration; bases with quality scores less than QUAL will not be recalibrated.
- levels=LEVEL1/LEVEL2/...: determines the static quantization levels of the base quality scores.
- indel=[true/false]: determines whether to add the base quality scores for INDELs into the BAM tags.
- keep\_oq=[true/false]: determines whether to keep the original before recalibration base quality scores by using the OQ tag in the bam file.

#### 8.1.3.2 OverclippingFilter read\_filter

The OverclippingFilter read filter is used to filter reads depending on their soft clipping characteristics.

The OverclippingFilter read filter accepts the following optional OPTION:

- min\_align\_len=LENGTH: filter reads where the number of bases that are not soft clipped is less than LENGTH.
- count\_both\_ends=[true/false]: if set to true, only filter reads where both ends of the read are soft clipped, so that reads with soft-clipping on one end only will not be filtered regardless of their non soft-clipped length.

# 8.2 UTIL binary

UTIL is the binary used to run some utility functions. This binary is mainly used to process the raw reads output from BWA.

### 8.2.1 UTIL syntax

The general syntax of the UTIL binary is:

```
sentieon util MODE [OPTIONS]
```

The supported modes (MODE) for this command are:

• index: build the index for a BAM file. The following command will generate a bai BAM index file at the same location as the input file:

```
sentieon util index INPUT.bam
```

• vcfindex: build the index for a VCF file. The following command will generate a idx VCF index file at the same location as the input file:

```
sentieon util vcfindex INPUT.vcf
```

- sort: sort a BAM file. The optional arguments (OPTIONS) for the UTIL command using the sort ALGORITHM include:
  - -t NUMBER\_THREADS: number of computing threads that will be used by the software to run parallel processes. The default is as many threads as available in the server.
  - r REFERENCE: location of the reference FASTA file. This argument is required if you are using a CRAM output file, otherwise it is optional.
  - -i INPUT: location of the input file.
  - -o OUTPUT: the location and filename of the output file.
  - --temp\_dir DIRECTORY: determines where the temporary files will be stored. The default is the folder where the command is run (\$PWD).
  - --cram\_read\_options decode\_md=0: CRAM input option to turn off the NM/MD tag in the input CRAM.
  - --cram\_write\_options compressor=[gzip|bzip2|lzma|rans]: CRAM output compression options. compressor=gzip is default if not defined.
  - --cram\_write\_options version=[2.1|3.0]: CRAM output version options. version=3.0 is default if not defined.
  - --bam\_compression COMPRESSION\_LEVEL[0-9]: gzip compression level for the output BAM file.
     The default value is 6.
  - --skip\_no\_coor: determines whether to skip unmapped reads.
  - --sam2bam: indicates that the input will be in the form of an uncompressed SAM file, that needs
    to be converted to BAM. If this option is not used, the input should have been converted to BAM
    format from the BWA output using samtools.
  - --block\_size BLOCK\_SIZE: size of the block to be used for sorting.

```
sentieon util sort -t NUMBER_THREADS --sam2bam -i INPUT.sam -o OUTPUT.bam
```

vcfconvert: compress and decompress VCF and GVCF files.

The following command will compress and index the input file:

```
sentieon util vcfconvert INPUT.vcf OUTPUT.vcf.gz
```

The following command will decompress a non-indexed vcf file generated with gzip and then compress and index the file. When using this command make sure that the INPUT and OUTPUT files are not the same:

```
sentieon util vcfconvert INPUT.gz OUTPUT.vcf.gz
```

- stream: perform base quality correction in streaming mode. The optional arguments (OPTIONS) for the UTIL command using the stream MODE include:
  - -t NUMBER\_THREADS: number of computing threads that will be used by the software to run parallel processes. The default value is 1.
  - --output\_format FORMAT: determines the format of the output stream. The possible FORMAT values are BAM or CRAM. The default is BAM.

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--output\_index\_file OUTPUT\_INDEX: determines where the corresponding index file will be created.

The following command will apply the recalibration and output the corresponding recalibrated BAM file to stdout:

```
sentieon util stream -i INPUT.bam -q RECAL_TABLE \
--output_index_file OUTPUT.bam.bai -o -
```

The above command will not generate an index file unless the option output\_index\_file is included.

# 8.3 TNHAPFILTER script

TNHAPFILTER is a script used to filter the results of the TNhaplotyper2 algorithm.

## 8.3.1 TNHAPFILTER syntax

The general syntax of the TNHAPFILTER script is:

```
sentieon tnhapfilter --tumor_sample TUMOR_SAMPLE_NAME -v TMP_OUT_TN_VCF
OUT_TN_VCF
```

The following inputs are required for the command:

- TUMOR SAMPLE NAME: sample name used for tumor sample in Map reads to reference stage.
- TMP\_OUT\_TN\_VCF: the location and file name of the file containing the unfiltered variants produced by TNhaplotyper2. You can use VCF files compressed with bgzip and indexed.
- OUT TN VCF: the location and file name of the output file containing the variants.

The arguments (OPTIONS) for this command include:

- --tumor\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the tumor sample.
- --normal\_sample SAMPLE\_NAME: name of the SM tag in the BAM file for the normal sample, if present.
- --min\_tumor\_lod NUMBER: minimum tumorLOD. The default is 5.3.
- --max\_germline\_prob NUMBER: maximum germline probability. The default is 0.025.
- --max\_normal\_art\_lod NUMBER: maximum normal artifact LOD. The default is 0.0.
- --max\_alt\_cnt NUMBER: maximum ALT allele count. The default is 1.
- --max\_event\_cnt NUMBER: maximum events in region. The default is 2.
- --min\_median\_base\_qual NUMBER: minimum median base quality. The default is 20.
- --min\_median\_mapq NUMBER: minimum median mapping quality. The default is 30.
- --max\_diff\_fraglen NUMBER: maximum median difference in fragment length. The default is 10000.
- --min\_pir\_median NUMBER: minimum median read position. The default is 5.
- --max\_strand\_prob NUMBER: maximum strand artifact probability. The default is 0.99.
- --min\_strand\_af NUMBER: minimum strand artifact allele fraction. The default is 0.01.
- --contamination NUMBER: contamination fraction to filter; if NUMBER is greater than 0, the tool will try to remove a fraction of the reads supporting each ALT. The default is 0.0.

If you are using Python 2.6.x, you may get the following error when running thhapfilter: ImportError: No module named argparse. If that is the case, you will need to install the argparse module to your python installation; you can do this by running pip install argparse or whichever other package manager you use.

# 8.4 PLOT script

PLOT is a script used to create plots of the results of the metrics and recalibration stages. The plots are stored in a PDF file.

### 8.4.1 PLOT syntax

The general syntax of the PLOT script is:

```
sentieon plot STAGE -o OUTPUPT_FILE INPUTS [OPTIONS]
```

The supported modes (STAGE) for this command are:

- GCBias: generate PDF file from the metrics results of GCBias.
- QualDistribution: generate PDF file from the metrics results of QualDistribution.
- InsertSizeMetricAlgo: generate PDF file from the metrics results of InsertSizeMetricAlgo.
- MeanQualityByCycle: generate PDF file from the metrics results of MeanQualityByCycle.
- QualCal: generate PDF file from the BQSR QualCal tool.
- VarCal: generate PDF file from the VQSR VarCal tool.

#### 8.4.1.1 PLOT results of GCBias STAGE

The INPUTS to generate the plots from the GCBias stage are:

• GC\_METRIC\_TXT: where GC\_METRIC\_TXT is the output file of the GCBias algorithm from Section 8.1.2.7.

The plotting of the GCBias metrics STAGE does not accept any OPTIONS.

### 8.4.1.2 PLOT results of MeanQualityByCycle STAGE

The INPUTS to generate the plots from the MeanQualityByCycle stage are:

• MQ\_METRIC\_TXT: where MQ\_METRIC\_TXT is the output file of the MeanQualityByCycle algorithm from Section 8.1.2.5.

The plotting of the MeanQualityByCycle metrics STAGE does not accept any OPTIONS.

#### 8.4.1.3 PLOT results of QualDistribution STAGE

The INPUTS to generate the plots from the QualDistribution stage are:

• QD\_METRIC\_TXT: where QD\_METRIC\_TXT is the output file of the QualDistribution algorithm from Section 8.1.2.6.

The plotting of the QualDistribution metrics STAGE does not accept any OPTIONS.

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#### 8.4.1.4 PLOT results of InsertSizeMetricAlgo STAGE

The INPUTS to generate the plots from the InsertSizeMetricAlgo stage are:

• IS\_METRIC\_TXT: where IS\_METRIC\_TXT is the output file of the InsertSizeMetricAlgo algorithm from Section 8.1.2.10.

The plotting of the InsertSizeMetricAlgo metrics STAGE does not accept any OPTIONS.

#### 8.4.1.5 PLOT results of QualCal STAGE

The INPUTS to generate the plots from the bqsr stage are:

• RECAL\_RESULT.CSV: the output csv file of the QualCal algorithm from Section 8.1.2.4.

The plotting of the bqsr STAGE does not accept any OPTIONS.

#### 8.4.1.6 PLOT results of VarCal STAGE

The INPUTS to generate the plots from the vqsr stage is:

• PLOT\_FILE: a file created by the VarCal algorithm from Section 8.1.2.21 containing the data required to create the report.

The plotting of the vqsr STAGE accept the following OPTIONS:

- tranches\_file=TRANCHES\_FILE: location of the file containing the partition of the call sets into quality tranches, generated by the VarCal algorithm from Section 8.1.2.21.
- target\_titv=TITV\_THRES: expected TiTv number for the species; it is used calculate the True Positive and False Positive numbers in the plot.
- min\_fp\_rate=MIN\_RATE: minimum False Positive number; it is used calculate the True Positive and False Positive numbers in the plot.

# 8.5 LICSRVR binary

LICSRVR is the binary used to run the license server to facilitate dynamic license assignment and record license utilization within a cluster.

### 8.5.1 LICSRVR syntax

The general syntax of the LICSRVR binary is:

```
<SENTIEON_FOLDER>/bin/sentieon licsrvr [--start|--stop] [--log LOG_FILE] LICENSE_FILE
```

The following inputs are optional for the command:

- LOG FILE: location and filename of the output file containing the log of the server.
- LICENSE FILE: location of the server license file.

After the license server is operational, the client applications can request license tokens from the server by setting the SENTIEON\_LICENSE environment variable to the server address in the form of HOST:PORT.

The licsrvr binary supports the following additional modes:

- --version: will report the software package version the binary belongs to.
- --dump: will report the current status of the license server, including the number of available licenses.

```
<SENTIEON_FOLDER>/bin/sentieon licsrvr --dump LICENSE_FILE
```

• --dump=update: if the license information has been updated and automatically pulled by the license server, this mode will dump the updated license information to the stdout. The following command will report the updated license information the license server has, if there has been any change:

```
<SENTIEON_FOLDER>/bin/sentieon licsrvr --dump=update LICENSE_FILE
```

# 8.6 LICCLNT binary

LICCLNT is the binary used to test the license server functionality to help determine whether the license server is operational, and how many licenses of the different algorithms are available.

# 8.6.1 LICCLNT syntax

The LICCLNT binary has two modes, one to ping the license server and one to check the available licenses for specific algorithms.

You can run the following command to check if the license server is operational:

```
<SENTIEON_FOLDER>/bin/sentieon licclnt ping --server HOST:PORT
```

The command will return 0 if the server is operational.

You can run the following command to check how many licenses are:

```
<SENTIEON_FOLDER>/bin/sentieon licclnt query --server HOST:PORT FEATURE
```

The command will return the number of licenses that are available for the specific license feature, which can be used for managing your jobs: before submitting a job on a certain number of threads, you can check if there are enough licenses for those threads, preventing the tool from being idle while waiting for licenses.

# 8.7 BWA binary

The BWA binary has two modes of interest, "mem" mode to align FASTQ files against a reference FASTA file, and "shm" mode to load the FASTA index file in memory to be shared among multiple BWA processes running in the same server.

# 8.7.1 BWA mem syntax

You can run the following command to align a single-ended FASTQ1 file or a pair-ended set of 2 FASTQ files against the FASTA reference, which will produce the mapped reads to stdout, to be piped onto util sort:

```
<SENTIEON_FOLDER>/bin/sentieon bwa mem OPTIONS FASTA FASTQ1 [FASTQ2]
```

The arguments (OPTIONS) for this command include:

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- -t NUMBER\_THREADS: number of computing threads that will be used by the software to run parallel processes. The argument is optional; if omitted the bwa binary will use 1 thread.
- -p: determines whether the first input FASTQ file contains interleaved pair-ended reads. If this argument is used, only use a single FASTQ input, as the second FASTQ2 file will be ignored.
- -M: determines whether to make split reads as secondary.
- -R READGROUP\_STRING: Read Group header line that all reads will be attached to. The recommended READGROUP\_STRING is @RG\tID:\partial readgroup\tSM:\partial readgroup\tPL:\partial re
  - \$readgroup is a unique ID that identifies the reads.
  - \$sample is the name of the sample the reads belong to.
  - \$platform is the sequencing technology, typically ILLUMINA.
  - \$platform unit is the sequencing element that performed the sequencing.
- -K CHUNK\_SIZE: determines the size of the group of reads that will be mapped at the same time. If this argument is not set, the results will depend on the number of threads used.

# 8.7.2 BWA shm syntax

You can run the following command to load the FASTA index file in memory:

<SENTIEON\_FOLDER>/bin/sentieon bwa shm FASTA

You can run the following command to list FASTA indices files stored in memory:

<SENTIEON\_FOLDER>/bin/sentieon bwa shm -1

You can run the following command to remove all FASTA indices files stored in memory, thus freeing memory when no longer necessary:

<SENTIEON\_FOLDER>/bin/sentieon bwa shm -d

The arguments (OPTIONS) for this command include:

- -t NUMBER\_THREADS: number of computing threads that will be used by the software to run parallel processes. The argument is optional; if omitted the bwa binary will use 1 thread.
- -f FILE: location of a temporary file that will be used to reduce peak memory usage.

# 8.7.3 Controlling memory usage in BWA

By default BWA will use about 24 GB in a Linux system and 8 GB in a Mac system. You can control the memory usage via the bwt\_max\_mem environment variable, which can be used to enhance the speed performance by using more memory, or limit the memory usage at the expense of speed performance. For example, you will get faster alignment by adding the following to your scripts:

export bwt\_max\_mem=50G

Bear in mind that the number you use in the bwt\_max\_mem environmental variable is not a hard limit, but an estimate of the memory used in BWA; as such, if BWA memory usage does not go beyond anything lower a certain value, that means it is the minimum required memory for the specific reference, setting bwt\_max\_mem to a smaller value than the minimum required memory won't change the BWA mem jobs's memory usage.

# 8.7.4 Using an existing BAM file as input

If you do not have access to the FASTQ inputs, but only have an already aligned and sorted BAM file, you can use it as input and redo the alignment by running *samtools*:

```
samtools collate -@ 32 -Ou INPUT_BAM tmp- | samtools fastq -@ 32 -s \
/dev/null -0 /dev/null - | <SENTIEON_FOLDER>/bin/sentieon bwa mem -t 32 -R \
'@RG\tID:id\tLB:lib\tSM:sample\tPL:ILLUMINA' -M -K 1000000 -p $ref /dev/stdin \
| <SENTIEON_FOLDER>/bin/sentieon util sort -t 32 -o OUTPUT_BAM --sam2bam -
```

Alternatively, you could first create the FASTQ files and then process them as you would normally do:

```
samtools collate -n -@ 32 -u0 INPUT_BAM tmp- | samtools fastq -@ 32 \
-s >(gzip -c > single.fastq.gz) -0 >(gzip -c > unpaired.fastq.gz) \
-1 >(gzip -c > output_1.fastq.gz) -2 >(gzip -c > output_2.fastq.gz) -
```

If you do this, you may encounter an abnormal memory usage in BWA; if that is the case, you can follow the instructions in BWA uses an abnormal amount of memory when using FASTQ files created from a BAM file.

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# **Examples of tool capabilities and applications**

# 9.1 DNA pipeline example script

Below is an example of how to process a set of 2 fastq files for a sample and perform variant calling according to the Broad institute best practices described in https://www.broadinstitute.org/gatk/guide/best-practices.

```
#!/bin/sh
# ************
# Script to perform DNA seq variant calling
# using a single sample with fastq files
# named 1.fastq.gz and 2.fastq.gz
# ***********
# Update with the fullpath location of your sample fastq
fastq_folder="/home/pipeline/samples"
fastq_1="1.fastq.gz"
fastq_2="2.fastq.gz" #If using Illumina paired data
sample="sample_name"
group="read_group_name"
platform="ILLUMINA"
# Update with the location of the reference data files
fasta="/home/regression/references/b37/human_g1k_v37_decoy.fasta"
dbsnp="/home/regression/references/b37/dbsnp_138.b37.vcf.gz"
known_Mills_indels="/home/regression/references/b37/Mills_and_1000G_gold_standard.indels.b37.vcf.gz"
known_1000G_indels="/home/regression/references/b37/1000G_phase1.indels.b37.vcf.gz"
# Update with the location of the Sentieon software package and license file
export SENTIEON_INSTALL_DIR=/home/release/sentieon-genomics-201808.07
export SENTIEON_LICENSE=/home/Licenses/Sentieon.lic
# Other settings
nt=$(nproc) #number of threads to use in computation, set to number of cores in the server
workdir="$PWD/test/DNAseq" #Determine where the output files will be stored
# ***********
# 0. Setup
# **********
mkdir -p $workdir
```

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```
logfile=$workdir/run.log
exec >$logfile 2>&1
cd $workdir
# ***********
# 1. Mapping reads with BWA-MEM, sorting
# ***********
#The results of this call are dependent on the number of threads used. To have number of threads_
→independent results, add chunk size option -K 10000000
( $SENTIEON_INSTALL_DIR/bin/sentieon bwa mem -M -R "@RG\tID:$group\tSM:$sample\tPL:$platform" -t $nt_
→-K 10000000 $fasta $fastq_folder/$fastq_1 $fastq_folder/$fastq_2 || echo -n 'error' ) | $SENTIEON_
→INSTALL_DIR/bin/sentieon util sort -r $fasta -o sorted.bam -t $nt --sam2bam -i -
# ***********
# 2. Metrics
# ***********
$SENTIEON_INSTALL_DIR/bin/sentieon driver -r $fasta -t $nt -i sorted.bam --algo MeanQualityByCycle mq_
→metrics.txt --algo QualDistribution qd_metrics.txt --algo GCBias --summary gc_summary.txt gc_metrics.
→txt --algo AlignmentStat --adapter_seq '' aln_metrics.txt --algo InsertSizeMetricAlgo is_metrics.txt
$SENTIEON_INSTALL_DIR/bin/sentieon plot GCBias -o gc-report.pdf gc_metrics.txt
$SENTIEON_INSTALL_DIR/bin/sentieon plot QualDistribution -o qd-report.pdf qd_metrics.txt
$SENTIEON_INSTALL_DIR/bin/sentieon plot MeanQualityByCycle -o mq-report.pdf mq_metrics.txt
$SENTIEON_INSTALL_DIR/bin/sentieon plot InsertSizeMetricAlgo -o is-report.pdf is_metrics.txt
# ***********
# 3. Remove Duplicate Reads
# ***********
$SENTIEON_INSTALL_DIR/bin/sentieon driver -t $nt -i sorted.bam --algo LocusCollector --fun score_info_
score txt
$SENTIEON_INSTALL_DIR/bin/sentieon driver -t $nt -i sorted.bam --algo Dedup --rmdup --score_info score.
→txt --metrics dedup_metrics.txt deduped.bam
# ***********
# 5. Base recalibration
# **********
$SENTIEON_INSTALL_DIR/bin/sentieon driver -r $fasta -t $nt -i deduped.bam --algo QualCal -k $dbsnp -k

→$known_Mills_indels -k $known_1000G_indels recal_data.table

$SENTIEON_INSTALL_DIR/bin/sentieon driver -r $fasta -t $nt -i deduped.bam -q recal_data.table --algo_
→QualCal -k $dbsnp -k $known_Mills_indels -k $known_1000G_indels recal_data.table.post
$SENTIEON_INSTALL_DIR/bin/sentieon driver -t $nt --algo QualCal --plot --before recal_data.table --
→after recal data.table.post recal.csv
$SENTIEON_INSTALL_DIR/bin/sentieon plot QualCal -o recal_plots.pdf recal.csv
# ************
# 6b. HC Variant caller
# ************
$SENTIEON_INSTALL_DIR/bin/sentieon driver -r $fasta -t $nt -i deduped.bam -q recal_data.table --algo_
→ Haplotyper -d $dbsnp --emit_conf=30 --call_conf=30 output-hc.vcf.gz
# **********
# 5b. ReadWriter to output recalibrated bam
# This stage is optional as variant callers
# can perform the recalibration on the fly
# using the before recalibration bam plus
# the recalibration table
# ***********
```

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```
$SENTIEON_INSTALL_DIR/bin/sentieon driver -r $fasta -t $nt -i deduped.bam -q recal_data.table --algo_

→ReadWriter recaled.bam
```

# 9.2 Working with multiple input files

Typically, there will be two occasions when you will be having multiple input files that need to be processed together: a single sample has been sequenced in multiple lanes, or you are processing multiple samples from a cohort.

# 9.2.1 Working with multiple input files from the same sample

When you have more than one set of input fastq files for the sample, you should perform an individual alignment stage for each set of input fastq files, and then use the multiple sorted BAM files as input of the next stage. The next stage will take care of merging all the BAM files into one. You need to make sure that each set of input fastq files are aligned using the same SM sample name, but a different RG readgroup name, so that the rest of the stages properly deal with the different data.

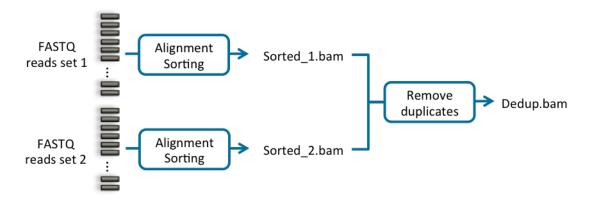


Fig. 9.1: Bioinformatics pipeline using multiple input files from the same sample

```
#Run alignment for 1st input file set
(sentieon bwa mem -M -R '@RG\tID:GROUP_NAME_1 \tSM:SAMPLE_NAME \tPL:PLATFORM' \
    -t NUMBER_THREADS REFERENCE SAMPLE_1 [SAMPLE_1_2] || echo -n 'error' ) \
    | sentieon util sort -o SORTED_BAM_1 -t NUMBER_THREADS --sam2bam -i -
#Run alignment for 2nd input file set
(sentieon bwa mem -M -R '@RG\tID:GROUP_NAME_2 \tSM:SAMPLE_NAME \tPL:PLATFORM' \
    -t NUMBER_THREADS REFERENCE SAMPLE_2 [SAMPLE_2_2] || echo -n 'error' \
    sentieon util sort -o SORTED_BAM_2 -t NUMBER_THREADS --sam2bam -i -
#Run dedup on both BAM files
sentieon driver -t NUMBER_THREADS -i SORTED_BAM_1 -i SORTED_BAM_2 \
    --algo LocusCollector --fun score_info SCORE_TXT
sentieon driver -t NUMBER_THREADS -i SORTED_BAM_1 -i SORTED_BAM_2 \
    --algo Dedup --rmdup --score_info SCORE_TXT --metrics DEDUP_METRIC_TXT DEDUPED_BAM
```

Alternatively you can input the results of multiple BWA alignments onto a single util sort command to merge the results onto a single sorted bam files as soon as possible. You still need to make sure that each set of input fastq files are aligned using the same SM sample name, but a different RG readgroup name, so that the rest of the stages properly deal with the different data.

```
#Run alignment for both input file sets sequentially
(sentieon bwa mem -M -R '@RG\tID:GROUP_NAME_1\tSM:SAMPLE_NAME\tPL:PLATFORM' \
-t NUMBER_THREADS REFERENCE SAMPLE_1 [SAMPLE_1_2] && sentieon bwa mem -M -R \
'@RG\tID:GROUP_NAME_2 \tSM:SAMPLE_NAME \tPL:PLATFORM' \
-t NUMBER_THREADS REFERENCE SAMPLE_2 [SAMPLE_2_2] || echo -n 'error' ) \
| sentieon util sort -o SORTED_COMBINED_BAM -t NUMBER_THREADS --sam2bam -i -
#Run dedup on combined BAM file
sentieon driver -t NUMBER_THREADS -i SORTED_COMBINED_BAM_1 \
--algo LocusCollector --fun score_info SCORE_TXT
sentieon driver -t NUMBER_THREADS -i SORTED_COMBINED_BAM_1 \
--algo Dedup --rmdup --score_info SCORE_TXT --metrics DEDUP_METRIC_TXT DEDUPED_BAM
```

## 9.2.2 Working with multiple input files from different samples

Processing multiple samples from a cohort together, also known as joint variant calling, can be done in two ways:

Process each sample individually and use the Haplotyper algorithm with option --emit\_mode gvcf
to create a GVCF file containing additional information, then process all GVCF using the GVCFtyper
algorithm. This method allows for easy and fast reprocessing after additional samples have been
processed.

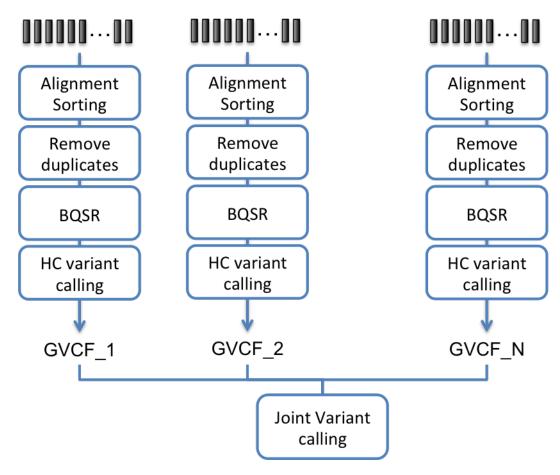


Fig. 9.2: Bioinformatics pipeline for joint calling using Haplotyper in GVCF emit mode and GVCFtyper

```
#Process all samples independently until GVCF
for sample in s1 s2 s3; do
  (sentieon bwa mem -M -R '@RG\tID:GROUP_${sample}\tSM:${sample}\tPL:PLATFORM' \
    -t NUMBER_THREADS REFERENCE $\{\)sample\}_FASTO_1 $\{\}sample\}_FASTO_2 || echo -n \
    'error') | sentieon util sort -o ${sample}_SORTED_BAM -t NUMBER_THREADS \
    --sam2bam -i -
  sentieon driver -t NUMBER_THREADS -i ${sample}_SORTED_BAM \
    --algo LocusCollector --fun score_info ${sample}_SCORE_TXT
  sentieon driver -t NUMBER_THREADS -i SORTED_BAM_1 -i SORTED_BAM_2 \
    --algo Dedup --rmdup --score_info ${sample}_SCORE_TXT ${sample}_DEDUPED_BAM
  sentieon driver -r REFERENCE -t NUMBER_THREADS -i ${sample}_DEDUPED_BAM \
    --algo QualCal -k $known_sites ${sample}_RECAL_DATA_TABLE
  sentieon driver -r REFERENCE -t NUMBER_THREADS -i ${sample}_DEDUPED_BAM \
    -q ${sample}_RECAL_DATA_TABLE --algo Haplotyper --emit_mode gvcf \
    ${sample}_VARIANT_GVCF
#Run joint variant calling
sentieon driver -r REFERENCE --algo GVCFtyper -v s1_VARIANT_GVCF \
  -v s2_VARIANT_GVCF -v s3_VARIANT_GVCF VARIANT_VCF
```

• Process each sample individually and create either a recalibrated BAM file or a deduped BAM and a recalibration table for each sample, then process all files using the Haplotyper algorithm.

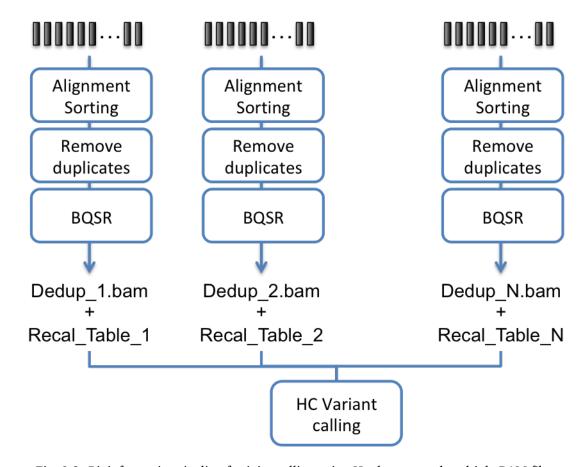


Fig. 9.3: Bioinformatics pipeline for joint calling using Haplotyper and multiple BAM files

```
#Process all samples independently until BQSR
for sample in s1 s2 s3; do
  (sentieon bwa mem -M -R '@RG\tID:GROUP_${sample}\tSM:${sample}\tPL:PLATFORM' \
    -t NUMBER_THREADS REFERENCE $\{\)sample\}_FASTO_1 $\{\}sample\}_FASTO_2 || echo -n \
    'error' )| sentieon util sort -o ${sample}_SORTED_BAM -t NUMBER_THREADS \
    --sam2bam -i -
  sentieon driver -t NUMBER_THREADS -i ${sample}_SORTED_BAM \
    --algo LocusCollector --fun score_info ${sample}_SCORE_TXT
  sentieon driver -t NUMBER_THREADS -i SORTED_BAM_1 -i SORTED_BAM_2 \
    --algo Dedup --rmdup --score_info ${sample}_SCORE_TXT ${sample}_DEDUPED_BAM
  sentieon driver -r REFERENCE -t NUMBER_THREADS -i ${sample}_DEDUPED_BAM \
    --algo QualCal -k $known_sites ${sample}_RECAL_DATA_TABLE
done
#Run joint variant calling
sentieon driver -t NUMBER_THREADS -i s1_DEDUPED_BAM -q s1_RECAL_DATA_TABLE \
  -i s2_DEDUPED_BAM -q s2_RECAL_DATA_TABLE -i s3_DEDUPED_BAM \
  -q s3_RECAL_DATA_TABLE --algo Haplotyper VARIANT_VCF
```

## 9.3 Supported annotations in Haplotyper and Genotyper

Both Haplotyper and Genotyper germline variant calling methods accept the option --annotation to output additional annotations to the result VCF. The following are the possible annotations supported:

- AC: Allele count in genotypes, for each ALT allele, in the same order as listed
- AF: Allele frequency, for each ALT allele, in the same order as listed
- AN: Total number of alleles in called genotypes
- BaseQRankSum: Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities
- ClippingRankSum: Z-score From Wilcoxon rank sum test of Alt vs. Ref number of hard clipped bases
- DP: Combined depth across samples
- ExcessHet: Phred-scaled p-value for exact test of excess heterozygosity
- FS: Phred-scaled p-value using Fisher's exact test to detect strand bias
- InbreedingCoeff: Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation
- MLEAC: Maximum likelihood expectation (MLE) for the allele counts, for each ALT allele, in the same order as listed
- MLEAF: Maximum likelihood expectation (MLE) for the allele frequency, for each ALT allele, in the same order as listed
- MQ: RMS mapping quality
- MQ0: Number of MAPQ == 0 reads
- MQRankSum: Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities
- OD: Variant Confidence/Quality by Depth
- RAW\_MQ: Raw data for RMS mapping quality
- · ReadPosRankSum: Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias
- SOR: Symmetric Odds Ratio of 2x2 contingency table to detect strand bias

- SAC: Number of reads on the forward and reverse strand supporting each allele (including reference)
- AS BaseQRankSum: Allele specific
- · AS FS: Allele specific Phred-scaled p-value using Fisher's exact test to detect strand bias
- AS\_InbreedingCoeff: Allele specific Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation
- AS\_MQRankSum: Allele specific Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities
- AS QD: Allele specific Variant Confidence/Quality by Depth
- AS MQ: Allele specific RMS mapping quality
- AS\_ReadPosRankSum: Allele specific Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias
- AS\_SOR: Allele specific Symmetric Odds Ratio of 2x2 contingency table to detect strand bias

## 9.4 Running the license server (LICSRVR) as a system service

If your system follows the Linux Standard Base Core Specifications<sup>5</sup> you can setup the license server to be automatically started in your system by running the following commands as root:

1. Install the license server startup script into the /etc/init.d directory. The startup script is included with the release package.

```
install -m 0755 SENTIEON_DIR/doc/licsrvr.sh /etc/init.d/licsrvr
```

2. Create and customize the configuration file; the configuration file is typically /etc/sysconfig/licsrvr; however, in Ubuntu the configuration file will be /etc/default/licsrvr.

```
% cat /etc/sysconfig/licsrvr
```

Below is an example of the configuration file, where \$SENTIEON\_DIR is the folder where the Sentieon Genomics software release package is located and \$LICENSE\_DIR is the folder where the license file is located; the license server log file will be stored in /var/log/sentieon licsrvr.log.

```
licsrvr="SENTIEON_DIR/bin/sentieon licsrvr"
licfile=LICENSE_DIR/LICENSE_FILE.lic
logfile=/var/log/sentieon_licsrvr.log
```

3. Execute the system init script installation script.

```
/usr/lib/lsb/install_initd /etc/init.d/licsrvr
```

4. You can then start/stop/restart/check status of the service by using:

```
service licsrvr [start|stop|restart|status]
```

For Ubuntu systems, if you do not have the lsb/install\_initd binary and choose not to install the lsb-core package, you do not need to run steps 3 and 4, and can run the following commands to install licsrvr as a service:

<sup>&</sup>lt;sup>5</sup> http://refspecs.linuxfoundation.org/LSB 3.1.0/LSB-Core-generic/LSB-Core-generic/tocsysinit.html

```
sudo update-rc.d licsrvr defaults
sudo update-rc.d licsrvr enable
```

For Debian systems, if you do not have the lsb/install\_initd binary and choose not to install the lsb-core package, you do not need to run steps 3 and 4, and can run the following commands to install licsrvr as a service:

```
#install the service
cd /etc/rc3.d
sudo ln -s ../init.d/licsrvr S05licsrvr

#start the service
/etc/init.d/licsrvr start

#stop the service
/etc/init.d/licsrvr stop

#disable the service
rm /etc/rc3.d/licsrvr
```

## 9.5 Removing reads after alignment with low mapping quality

Below is an example of how to remove reads with low mapping quality after alignment, so that they do not take space in the output BAM file.

```
sentieon bwa mem -M -R '@RG\tID:GROUP_NAME_1 \tSM:SAMPLE_NAME \tPL:PLATFORM' \
  -t NUMBER_THREADS REFERENCE SAMPLE_1 [SAMPLE_2] \
  |samtools view -h -q MAP_QUALITY_THRESHOLD - \
  | sentieon util sort -o SORTED_BAM_1 -t NUMBER_THREADS --sam2bam -i -
```

## 9.6 Performing Dedup to mark primary and non-primary reads

The standard 2 pass dedup will ignore non-primary reads and never change their duplicate flag.

Below is an example of how to perform dedup to mark both primary and non-primary reads:

```
sentieon driver -t NUMBER_THREADS -i SORTED_BAM \
--algo LocusCollector --fun score_info SCORE_TXT
sentieon driver -t NUMBER_THREADS -i SORTED_BAM \
--algo Dedup --score_info SCORE_TXT --metrics DEDUP_METRIC_TXT \
-- output_dup_read_name DUPLICATED_READNAMES_TXT
sentieon driver -t NUMBER_THREADS -i SORTED_BAM \
--algo Dedup --rmdup --dup_read_name DUPLICATED_READNAMES_TXT DEDUPED_BAM
```

## 9.7 Pipeline modifications when using data with quantized quality scores

When your input data has quantized base quality scores, there is a high chance that the base quality reported in the input FASTQ is much higher than the empirical base quality; in this case, it is possible that the variant calling step may take longer to process the data, as low quality bases that would not have been taken into account in the local assembly will cause the generation of many irrelevant low-quality haplotypes. This issue

should be addressed by running the Base Quality Score Recalibration step of the pipeline; alternatively, you can modify the default value of the min\_base\_qual argument of Haplotyper, or TNhaplotyper to reduce the impact: add the option --min\_base\_qual 15 to your command line to prevent this issue.

# 9.8 Modify RG information on BAM files when both Tumor and Normal inputs have the same RGID

If you input multiple different BAM files containing readgroups with the same ID but different attributes, the Sentieon tools will error out. This can happen when in TNseq and TNscope the tumor and normal sample BAM files have an RG ID of "1". In order to work around this, you can use the --replace\_rg functionality to modify the RG information.

```
#first lane tumor, RGID incorrectly set to uninformative 1
sentieon bwa mem -M -R '@RG\tID:1\tSM:TUMOR_SM\tPL:PLATFORM' -t NT \
 REFERENCE TUMOR_1_1.fq.gz TUMOR_1_2.fq.gz | sentieon util sort \
  -o TUMOR 1.bam -t NT --sam2bam -i -
#second lane tumor, RGID incorrectly set to uninformative 1
sentieon bwa mem -M -R '@RG\tID:1\tSM:TUMOR_SM\tPL:PLATFORM' -t NT \
 REFERENCE TUMOR_2_1.fq.gz TUMOR_2_2.fq.gz | sentieon util sort \
  -o TUMOR 2.bam -t NT --sam2bam -i -
#normal, RGID incorrectly set to uninformative 1
sentieon bwa mem -M -R '@RG\tID:1\tSM:NORMAL_SM\tPL:PLATFORM' -t NT \
 REFERENCE TUMOR_1.fq.gz TUMOR_2.fq.gz | sentieon util sort \
  -o NORMAL.bam -t NT --sam2bam -i -
#using replace_rg argument to modify the RGID and make them unique
#each replace_RG argument will only affect the following input BAM file
sentieon driver -r REFERENCE \
  --replace_rg 1='ID:T_1\tSM:TUMOR\tPL:PLATFORM' -i TUMOR_1.bam \
  --replace_rg 1='ID:T_2\tSM:TUMOR\tPL:PLATFORM' -i TUMOR_2.bam \
  --replace_rg 1='ID:N\tSM:NORMAL\tPL:PLATFORM'-i NORMAL.bam \
  --algo TNscope --tumor_sample TUMOR --normal_sample NORMAL OUT_TN_VCF
```

## **Troubleshooting**

## 10.1 Preparing reference file for use

If your reference FASTA file has not been pre-processed such that the data specified in Table 3.1 is not available to the software, you will need to process it as explained in https://www.broadinstitute.org/gatk/guide/article?id=2798.

You will need to do the following steps:

1. Generate the BWA index using BWA. This will create the ".fasta.amb", ".fasta.ann", ".fasta.bwt", ".fasta.pac" and ".fasta.sa" files.

```
sentieon bwa index reference.fasta
```

2. Generate the FASTA file index using samtools. This will create the ".fasta.fai" file.

```
samtools faidx reference.fasta
```

3. Generate the sequence dictionary using Picard. This will create the ".dict" file.

```
java -jar CreateSequenceDictionary.jar REFERENCE=reference.fasta \
OUTPUT=reference.dict
```

## 10.2 Preparing RefSeq file for use

RefSeq files are used to aggregate the results of the CoverageMetrics algorithm to the gene level.

In order to use RefSeq files downloaded from the ucsc genome browser, they need to be sorted by chromosome and loci. To perform the sorting you will need to do the following steps:

1. Strip the header from the file

```
grep -v "^#" FILE.refSeq > FILE.refSeq.headerless
grep -e "^#" FILE.refSeq > FILE.refSeq.header
```

2. Sort the loci first using unix sort.

```
sort -k 5 -n FILE.refSeq.headerless > FILE.refSeq.presorted
```

3. Use GATK sortByRef.pl using the FASTA index fai to sort by chromosome.

4. Put the header back to the file.

```
cat FILE.refSeq.header > FILE_sorted.refSeq
cat FILE_sorted_headerless.refSeq >> FILE_sorted.refSeq
```

## 10.3 Common usage problems

Following is a list of symptoms of common problems as well as solutions for them.

# 10.3.1 Driver or Util fails with Error: can not open file (xxx) in mode(r), Too many open files

The root cause for this error is that the limit of concurrently open files is not set to be high enough for your system.

You can solve this error by setting the system ulimit -n. In a Linux based system:

1. Check the limit of maximum number of open files in your system, by running the following command:

```
ulimit -n
```

2. Set a higher limit by editing file /etc/security/limits.conf as root, and add the following 2 lines:

```
* soft nofile 16384
* hard nofile 16384
```

3. If your system is running Ubuntu, you also need to add this line to you shell profile ~/.bashrc

```
ulimit -n 16384
```

4. You need to log out of your system and log back in for the changes to take effect. After logging in, check that the change was applied correctly by running the following command:

```
ulimit -n
```

5. The command should return 16384.

In a Mac OSX based system:

1. Check the limit of maximum number of open files in your system, by running the following command:

```
ulimit -n
```

2. Set a higher limit by editing or create file /etc/sysctl.conf, and add the following 2 lines:

```
kern.maxfilesperproc=16384
kern.maxfiles=16384
```

3. and add this line to you shell profile ~/.bash\_profile

```
ulimit -n 16384
```

4. You need to reboot your system for the changes to take effect. After rebooting, check that the change was applied correctly by running the following command:

```
ulimit -n
```

5. The command should return 16384.

# 10.3.2 Driver fails with error: Contig XXX from vcf/bam is not present in the reference, or error Contig XXX has different size in vcf/bam than in the reference

The root cause for this error is that the input VCF or BAM file is incompatible with the reference fasta file. Either there are contigs in the file not present in the reference, or the contigs have different sizes. This is most likely caused by using VCF or BAM files processed with a different reference.

# 10.3.3 Driver reports warning: Contigs in the vcf file XXX do not match any contigs in the reference

The root cause for this warning is that the input VCF file is incompatible with the reference fasta file, and the contigs in the file are not present in the reference. This is most likely caused by using VCF files from a different reference.

## 10.3.4 License message: No more license available for Sentieon...

This message is produced when you request to run the Sentieon software in more threads than your license currently allows you to. This happens because you are concurrently running commands that collectively request more threads than the number of cores your license supports.

The Sentieon commands will be idle while waiting for free licenses, but the commands will not fail.

# 10.3.5 Driver fails with error: Readgroup XX is present in multiple BAM files with different attributes

This error is produced when you input two different BAM files containing readgroups with the same ID but different attributes, for instance when in TNseq and Tnscope the tumor and normal sample BAM files have an RG ID of "1".

Before you are able to use the BAM files you will need to edit them to make the RG ID unique, for instance by adding the SM name to the RG ID. You can check Section 9.8 for an example of a work-around for this issue.

Alternatively, you can use the samtools addreplacerg functionality to do modify the RG ID of the input BAM files and make them unique:

```
#add the new RG and modify all reads in the BAM file
RGtag=$(samtools view -H $INPUT_BAM|grep ^@RG|sed "s|ID:$ORIG_RGID|ID:$NEW_RGID|g")
samtools addreplacerg -r"$RGtag"-o $TMP_BAM $INPUT_BAM
#reheader the BAM to remove the original RG that is no longer used
samtools view -H $TMP_BAM|grep -v"^@RG.*$ORIGINAL_RGID" \
```

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```
|$samtools reheader - $TMP_BAM > $OUTPUT_BAM
rm $TMP_BAM
```

# 10.3.6 Driver reports warning: none of the QualCal tables is applicable to the input BAM files

This warning means that none of the information in the recalibration table input file can be applied to the input BAM file, which is likley due to using a recalibration table that does not correspond to the BAM file.

This warning could be produced when the input BAM file to QualCal does not have the correct fields in the RG fields. For instance, this could happen if the PL tag of the RG is set to something different than ILLUMINA; in this case, you will need to modify the BAM header to include/modify the missing/incorrect fields, for which you can use the samtools reheader functionality.

# 10.3.7 BWA uses an abnormal amount of memory when using FASTQ files created from a BAM file

When you use FASTQ files created by converting an already sorted BAM file, it may happen that all the unmapped reads are grouped together at the end of the FASTQ inputs. In that case, BWA may use an abnormal amount of memory at the end of the alignment because poorly mapped or unmappable reads require additional memory.

In order to reduce the abnormal memory usage, you should first re-sort the bam file to make sure that the unmapped reads are not grouped together. You can use *samtools* to do that:

```
samtools sort -n -@ 32 input.bam | samtools fastq -@ 32 \
-s >(gzip -c > single.fastq.gz) -0 >(gzip -c > unpaired.fastq.gz) \
-1 >(gzip -c > output_1.fastq.gz) -2 >(gzip -c > output_2.fastq.gz) -
```

## 10.4 KPNS - Known Problems No Solutions

## 10.4.1 Lack of support for gzipped vcf files not compressed with bgzip

Normal gzipped files do not allow for random or indexed access to the information contained on them, only files compressed with bgzip are indexable. As such, the Sentieon software does not support gzipped VCF files as input. In order to use these files you will need to uncompress them using gunzip and either use them uncompressed or recompress them with bgzip. Alternativelly, you can use util vcfconvert to recompress and index the files.

```
sentieon util vcfconvert INPUT.vcf.gz OUTPUT.vcf.gz
```

## 10.4.2 Lack of support for gzipped fasta files

Currently the software does not support gzipped FASTA files as input. You need to gunzip the files before using them.

## 10.4.3 FASTQ files required to have SANGER quality format

If your FASTQ files have been encoded with Illumina $^{\text{TM}}$  sequencing technology before 1.8, the read quality scores will not be in SANGER format, which may produce unexpected results. The Sentieon Genomics software will not detect that you are using the unsupported format.

## 10.4.4 Driver fails with error: ImportError: No module named argparse

This error is produced when running thhapfilter in an environment where the python version is 2.6.x and the argparse module is not present. You will need to install the argparse module to your python installation; you can do this by running pip install argparse or whichever other package manager you use.

# Release notes and usage changes

# 11.1 Updates from previous releases

## 11.1.1 Release 201808.07

Type	Description
Feature	Improved speed of QualCal on high CPU count servers.
Feature	Improved speed of Dedup on high CPU count servers.
Feature	Improved speed of GVCFtyper merge for large cohorts.
Bug-fix	Solved issue preventing reading CRAM files with slices straddling across multiple contigs; the
	Sentieon tools do not generate such CRAM files.
Bug-fix	Added additional error checks and error reporting.
Bug-fix	Solved issue that would cause an error when using BAM files containing incomplete PG records.
Bug-fix	Solved issue in GVCFtyper that would cause an error when using phased GT annotation.

## 11.1.2 Release 201808.06

Type	Description
Bug-fix	Solved issue in GVCFtyper that could cause an error when using multinomial genotyping and
	more than 1000 samples.

## 11.1.3 Release 201808.05

Type	Description
Feature	Improved speed of BWA alignment.
Feature	Changed default CRAM output version to 3.0
Feature	Reduced memory usage when outputting CRAM files.
Feature	Added error checking in tnhapfilter.
Feature	Improved accuracy of DNAscope model.
Feature	Removed Realigner stages from the example files
Feature	Added option in Haplotyer and GVCFtyper to use additional genotyping models.
Bug-fix	Solved issue in TNhaplotyer2 that could cause a run to run variation in the annotation calcula-
	tion in 1 out of 100 runs.
Bug-fix	Solved issue in BWA that could cause an error when using an extremely large bwt_max_mem
	option.
Bug-fix	Solved issue that could cause an error when reading a CRAM file generated by cramtools.
Bug-fix	Improved error reporting.

## 11.1.4 Release 201808.03

Type	Description
Bug-fix	Solved issue in TNscope that set the wrong default settings.

## 11.1.5 Release 201808.02

Type	Description
Feature	Improved speed of TNscope.
Feature	Added option –trim_soft_clip to TNscope, TNhaplotyper and TNhaplotyper2.
Feature	Added capability for output BAM from -bam_output option to keep the input BAM RG infor-
	mation.
Feature	Added option –disable_detector to TNscope to control the type of variants called.
Feature	Added support in TNscope SV calling to more accurately represent large INS.
Feature	Added mode to util fqidx to extract a fraction of the reads.
Feature	Added support in GVCFtyper merge mode to allow input files hosted in an object storage loca-
	tion.
Feature	Added support for using multiple –interval options.
Bug-fix	Solved issue in TNscope that could call a false positive in a site that has a germline call and is
	neighboring another SNV.
Bug-fix	Improved error reporting.
Bug-fix	Solved issue in sentieon script that could prevent using a demo license on a shell that is not
	BASH.
Bug-fix	Solved issue that would prevent BED files containing an interval with identical start and end.
Bug-fix	Solved issue in DNAModelApply that would cause an error when the input VCF file is empty.
Bug-fix	Solved issue in plot that could cause it to run longer than necessary.
Bug-fix	Solved issue in DNAModelApply that would cause an error when over-scheduling.
Bug-fix	Solved issue in util sort that could cause an assertion error when over-scheduling.
Bug-fix	Solved issue in plot that would generate BQSR PDF plots without AA and AAA context covariate.

## 11.1.6 Release 201808.01

Type	Description
Feature	Improved performance of DNAscope and Machine learning model
Feature	Improved speed of Insert Size plotting when the sample has very large insert sizes
Bug-fix	Solved issue in GVCFtyper that could create a non conforming VCF when doing joint calling of
	DNAscope results.
Bug-fix	Solved issue in DNAModelApply that would cause an error when the input file does not contain
	a ModelID.
Bug-fix	Solved issue in Haplotyper when using the –given option that would generate a VCF without
	the LowQual filter definition in the header.
Bug-fix	Solved issue in thapfilter tool to include tumor sample in the header of the VCF

## 11.1.7 Release 201808

Туре	Description
Feature	Added support in ReadWriter for customized flag read filters.
Feature	Added support to modify the read group information of an input BAM file.
Feature	Added support in TNscope and TNhaplotyper to output a BAM including local reassembly of
	the reads.
Feature	Added support in TNModelApply to include command line to the VCF output.
Feature	Added support to QualCalFilter to keep the base quality scores before recalibration.
Feature	Introduced TNhaplotyper2 algorithm
Feature	Added support for outputting CRAM v 3.0 files.
Feature	Added support in Haplotyper, DNAscope and GVCFtyper to input the expected heterozygosity
	value used to compute prior likelihoods.
Feature	Updated interface of plot to better match other tools.
Feature	Added support for machine learning model for DNAscope
Feature	Modified the help for driver options to make it more user friendly.
Feature	Improved speed in Dedup.
Feature	Added support in Haplotyper, Genotyper and DNAscope to include @PG line in the output
	header of thebam_output argument.
Feature	Updated the @PG information when processing in distribution mode to make it more informa-
	tive.
Bug-fix	Solved issue in GVCFtyper on DNAscope GVCFs that may report incorrect phasing on a multi-
	allelic variant
Bug-fix	Solved issue in util sort that would cause an error in older CPUs.
Bug-fix	Added additional error checks and error reporting.
Bug-fix	Solved issue in LocusCollector that would cause a crash when running 2 commands in parallel
	outputting to the same file.
Bug-fix	Solved issue in Haplotyper that could create a GVCF with REF allele incorrectly set to N under
	very rare conditions.
Bug-fix	Solved issue in TNscope that may use uninitialized values
Bug-fix	Solved issue in AlignmentStat that would report an incorrect command line in the header of
	the output file.
Bug-fix	Solved issue that could slow down the startup when the LIBDIR contains many files and is
	stored in a NFS.

## 11.1.8 Release 201711.05

Type	Description
Bug-fix	Solved issue in in Haplotyper that could create a GVCF file that does not cover the entire region
	under rare circumstances.

## 11.1.9 Release 201711.04

Type	Description
Feature	Added support to all BAM writing tools to preserve existing PG tags in the header.
Bug-fix	Solved issue in TNscope that could cause an error with references containing small contigs.
Bug-fix	Solved issue in SVSolver that could cause a segmentation fault.
Bug-fix	Solved issue in Haplotyper when using the —bam_output option that could generate a debug
	BAM file incompatible with other tools.
Bug-fix	Solved issue in ApplyVarCal that would generate a non-compliant list of FILTERs if the input
	file already had existing filters.

## 11.1.10 Release 201711.03

Type	Description
Feature	Reduced memory usage in GVCFtyper.
Feature	Improved the speed of BWA alignment.
Feature	Added command line to output of metrics tools.
Feature	Reduced memory usage in util sort.
Feature	Reduced TNscope SV calling runtime for very large samples.
Bug-fix	Solved issue in GVCFtyper merge when usingsplit_by_sample that would cause an error in
	merging files with a large number of samples.
Bug-fix	Solved issue in WgsMetricsAlgo that would cause an error under very rare circumstances.
Bug-fix	Reduced memory usage in WgsMetricsAlgo and SequenceArtifactMetricsAlgo tools.
Bug-fix	Solved issue in SequenceArtifactMetricsAlgo that would produce an error when using reference
	FASTA files with non-ACGT bases.
Bug-fix	Solved issue in WgsMetricsAlgo that would cause an error when thecoverage_cap argument
	is not within the valid rage.
Bug-fix	Solved issue in SequenceArtifactMetricsAlgo that would cause an error when the
	context_size argument is not within the valid rage.

## 11.1.11 Release 201711.02

Type	Description
Feature	Added support for BWA shm mode.
Feature	Added QC metrics tools: BaseDistributionByCycle, QualityYield, WgsMetricsAlgo, Se-
	quenceArtifactMetricsAlgo.
Feature	Improved speed in TNscope when calling SVs.
Feature	Added support in TNscope to show progress report during the SV calling.
Feature	Reduced memory usage in TNscope while calling SVs.
Feature	Introduced $\beta$ -version of Windows based tools.
Feature	Improved speed for the Windows version.
Feature	Added additional error checks and error reporting.
Feature	Modified the default behavior in DNAscope when only calling short variants to filter out
	chimeric reads during genotyping.
Feature	Reduced memory usage in GVCFtyper.
Bug-fix	Solved issue in Haplotyper that would cause an error if the input BAM file contained reads
	where the cigar is composed solely of soft and hard clips.
Bug-fix	Solved issue in TNscope that could cause a segmentation fault when using an incomplete BAM
	file.
Bug-fix	Solved issue in TNscope that would cause a segmentation fault under rare circumstances.
Bug-fix	Solved issue in Haplotyper and DNAscope that could cause an error when using the bam_output
	option.
Bug-fix	Solved issue in Realign that would not do the proper read pairing when dealing with secondary
	alignments.
Bug-fix	Maintenance update on the SVsolver algorithm.
Bug-fix	Solved issue in licsrvrv for Windows that would prevent it from working with HTTP proxy
	servers with authentication.
Bug-fix	Solved issue in GVCFtyper that would produce VCF records with non-conformant MQ0 when
	the input GVCFs include MQ0 annotation.

## 11.1.12 Release 201711.01

Туре	Description
Feature	Improved QualCal speed for very small jobs.
Feature	Added support in licsrvr to report the version.
Feature	Added support in licsrvr to report an update in the license.
Bug-fix	Solved issue in licsrvr that would prevent from working HTTP proxy servers that return auth
	schemes in multiple header lines.
Bug-fix	Solved issue in Haplotyper, DNAscope, TNhaplotyper and TNscope that could cause an "illegal
	instruction" error in AWS.
Bug-fix	Solved issue in TNscope that would produce and "Error in function boost" under rare conditions.
Bug-fix	Solved issue in util that would prevent running vcfconvert on a compressed vcf.gz file.
Bug-fix	Solved issue in Haplotyper and DNascope that could cause an error when using the
	bam_output option.
Bug-fix	Reduced memory usage in Realigner.
Bug-fix	Solved issue in TNhaplotyper and TNscope that could incorrectly filter a variant as present in
	the Panel of Normals when the variant is covered by a DEL present in the PoN.
Bug-fix	Changed emit_conf value in the sample scripts to use the default value.
Bug-fix	Solved issue in TNhaplotyper and TNscope that could produce an assertion error when using a
	fractured bed file with small intervals close to each other.
Bug-fix	Solved issue in TNscope that would prevent the job from finishing when prune_factor is set to
	0.
Bug-fix	Solved issue in BWA that prevented it from working on certain older AMD cpus.

## 11.1.13 Release 201711

Type	Description
Feature	Added support in Haplotyper to output a BAM including the local reassembly of the reads.
Feature	Introduced $\beta$ -version of new functionality for applying a Machine Learning model to help with
	variant filtration in TNscope.
Feature	Introduced $\beta$ -version of new functionality for Python based recipe creation to drive the Sentieon
	tools.
Feature	Introduced $\beta$ -version of new product DNAscope for germline variant calling and germline structural variant calling.
Feature	Added support for printing the command line to stderr log, usually as the first line, before the
	license check.
Feature	Added support in QualCal to calculate recalibration tables based on PU if present.
Feature	Added support in ApplyVarCal to use both SNP and INDEL models in a single command line.
Feature	Added additional error checks and error reporting.
Bug-fix	Solved issue in TNscope SV that would cause an error if the input BAM file contained realigned
	reads with no matching bases.
Bug-fix	Solved issue that would cause a crash when using a BAM file containing reads with invalid
	mate tid.
Bug-fix	Solved issue in GVCFtyper that could cause a job to incorrectly think there are not enough
D (*	licenses available when the communication to the license server is slow.
Bug-fix	Solved issue in TNscope that failed to detect long INDELs under rare circumstances.
Bug-fix	Solved issue in ApplyVarCal that caused the output VCF file to miss the SentieonCommandLine in the header.
Bug-fix	Solved issue in TNscope that prevented modifying the max normalAlt active option, which
	could cause loss of variants in areas of extreme depth.
Bug-fix	Solved issue in GCBias that would report results for a RG that did not contain any reads.
Bug-fix	Solved issue in util that would cause a crash when the BAM contained reads whose tid is out
	of bound.
Bug-fix	Solved issue in util stream that would not include the full path of util binary in the PG line of
	the BAM header.
Bug-fix	Solved issue in AlignmentStat that would produce an inaccurate PCT_ADAPTER value when
	the adapter_seq is not null.
Bug-fix	Solved issue in ApplyVarCal that would produce incorrect results when using sensitivity 0.
Bug-fix	Solved issue in the help of TNhaplotyper that would misrepresent the default pcr_indel_model.
Bug-fix	Solved issue in BWA that prevented it from working on certain older AMD cpus.
Bug-fix	Solved issue in InsertSizeMetrics that would generate a header using space instead of tab.

## 11.1.14 Release 201704.03

Type	Description
Feature	Added support for using a HTTP proxy with authentication.
Bug-fix	Solved issue that would prevent recalibration tables from older releases from being applied
	when the BAM file RGs have a defined PU.
Bug-fix	Solved issue in Realigner that could cause an error under extremely rare circumstances when
	reads in the input file have inconsistent information.
Bug-fix	Solved issue in TNscope that could incorrectly error out when the tumor_contamination_frac
	parameter was set to 0.
Bug-fix	Solved issue that was preventing using BAM files with Contigs larger than 300M.

## 11.1.15 Release 201704.02

Type	Description
Feature	Added support in QualCal to allow input ofcycle_val_max parameter.
Bug-fix	Solved issue in Realigner when input BAM file has wrong MC tag type.
Bug-fix	Solved issue in TNhaplotyper indetect_pon that would produce a non-compliant VCF.
Bug-fix	Solved issue in TNscope ingiven mode that would not report records on areas of 0 depth
	coverage.
Bug-fix	Solved issue in CoverageMetrics that would report the wrong cumulative_coverage_counts,
	coverage.statistics when input BAM has multipe different readgroups.
Bug-fix	Solved issue in InsertSizeMetricAlgo, GCBias and CoverageMetrics that could report the wrong
	results for extremely deep (600x) samples.
Bug-fix	Solved issue in QualCal where cycle_val_max parameter was not opened to user.

## 11.1.16 Release 201704.01

Type	Description
Feature	Added additional checks on the input BAM files.
Bug-fix	Solved issue in Dedup that would cause the algorithm to hang when the BAM file has more
	than 4 billion reads (200x WGS with 150 BP reads).
Bug-fix	Solved issue in QualCal that was slowing down the calculation.
Bug-fix	Solved issue in TNscope that could report the wrong reference allele for structural variants.
Bug-fix	Solved issue that could cause a job to hang under extremely rare circumstances.
Bug-fix	Solved issue that could cause extra tags in the BAM header to disappear.

## 11.1.17 Release 201704

Type	Description
Feature	Maintenance update of algorithms.
Feature	Added support for defining a temporary directory, instead of using PWD.
Feature	Added support for type 2 VCF index files.
Feature	Added additional error checks and error reporting.
Feature	Added support for SAC annotation and Allele Specific annotations.
Feature	Added support for additional read filtering: MapQualFilter and OverclippingFilter.
Feature	Added support for CollectVCMetrics.
Feature	Added support for Deduplication equivalent to using BAM files sorted by read name.
Bug-fix	Solved issue in license control that would prevent run when the SENTIEON_AUTH_DATA is of
	a specific length.
Bug-fix	Solved issue that would not properly parse bed files containing both space and tab delimited
	fields.
Bug-fix	Solved issue in TNscope that would report the wrong REF allele for structural variants.
Bug-fix	Solved issue in CoverageMetrics that would produce the wrong summary mean coverage when
	not using a bed file.

## 11.1.18 Release 201611.03

Туре	Description
Feature	Added support for more options for base quality score recalibration.
Bug-fix	Solved issue in GVCFtyper that could report an incorrect GT or PL when the input GVCFs had
	been processed with different bed files.
Bug-fix	Solved issue in Realign that caused reads close to the edge of the contig to be realigned beyond
	the contig boundary.
Bug-fix	Solved issue in Realign that caused a segmentation fault when using known sites VCF including
	symbolic variants.
Bug-fix	Added support for Realign to update NM and MD tags in the realigned reads.

## 11.1.19 Release 201611.02

Type	Description
Feature	Added support for calling given known variants to Genotyper, Haplotyper, and TNscope.
Feature	Added support for outputting physical phasing information of variants in TNhaplotyper and
	TNscope.
Feature	Added support for base quality correction in streaming mode in util.
Feature	Maintenance update of HsMetricAlgo.
Feature	Removed redundant nthr argument in VarCal.
Bug-fix	Solved issue in TNscope causing excessive memory usage in the structural variant calling.
Bug-fix	Solved issue in TNscope causing a segmentation fault when an output file was not set.
Bug-fix	Solved issue in QualCal inplot mode that was incorrectly reporting the need for known sites.
Bug-fix	Solved incorrect description of filter low_t_alt_frac in TNscope.

## 11.1.20 Release 201611.01

Type	Description
Feature	Removed TNscope temporary files novo_hap.data and novo_sv.data.
Feature	Increased default license timeout from client request.
Bug-fix	Solved an issue causing jobs to hang at the end of the processing when there were issues in the
	network communication.
Bug-fix	Solved an issue in TNscope causing an assertion error when pairend reads have inconsistent
	flags.
Bug-fix	Solved an issue in TNscope that incorrectly considered reads marked as duplicates in the cal-
	culation.

## 11.1.21 Release 201611

Type	Description
Feature	Addedemit_mode all in GVCFtyper.
Feature	Updates to $\beta$ -version of TNscope.
Feature	Speed improvement to the alignment and sorting tools.
Feature	Added ContaminationAssessment algorithm for contamination estimation.
Feature	Added detection of truncated VCF input and corrupted cram input files.
Bug-fix	Solved an issue in VarCal that was not producing a plot file when the recalibration failed.
Bug-fix	Solved an issue in VarCal that could cause a segmentation fault when there were not enough
	licenses for the requested threads.
Bug-fix	Solved an issue in util sort that was causing a segmentation fault when converting an empty
	sam file to BAM file.

## 11.1.22 Release 201608.01

Type	Description
Bug-fix	Solved issue in TNseq in tumor-only mode that added to the output VCF an empty column for
	non-existent NORMAL sample.

## 11.1.23 Release 201608

Type	Description
Feature	Introduced $\beta$ -version of new product TNscope for Tumor-Normal somatic variant calling and
	structural variant calling.
Feature	Reduced peak memory utilization in klib for alignment.
Feature	Speed improvement in the input file loading of GVCFtyper.
Feature	Speed improvement in the Haplotyper algorithm.
Feature	Made VarCal more robust when building the VQSR gaussian models.
Bug-fix	Cleared up certain error messages to make them more user friendly.
Bug-fix	Solved issue in Genotyper that caused a segmentation fault when using var_type BOTH.

## 11.1.24 Release 201606.02

Type	Description
Feature	Reduced memory utilization in GVCFtyper to reduce requirements for analysis of large (4000+)
	cohorts.
Bug-fix	Solved issue in TNsnv that created VCF files non-conforming to the standard.

## 11.1.25 Release 201606.01

Type	Description
Bug-fix	Solved an issue that prevented licsrvr from serving licenses when the user group list is longer
	than 1000 characters.

## 11.1.26 Release 201606

Туре	Description
Feature	Maintenance update of TNhaplotyper algorithm (still in $\beta$ ).
Feature	Added support for RNAseq variant calling.
Feature	Added online help.
Feature	Added support for interval padding.
Bug-fix	Solved issue that produced no output in TNhaplotyper when using a PoN or no normal sample
	data.
Bug-fix	Solved issue preventing BED file with a header from being used.

## 11.1.27 Release 201603.03

Type	Description
Feature	Added method for inputting long list of VCF files to GVCFtyper.
Feature	Added command line to VCF header.
Feature	Added support for additional annotations on all variant callers.
Bug-fix	Solved issue producing an assertion error when too few variants are called.
Bug-fix	Solved issue reporting the wrong assembly in the VCF header when the FASTA file cannot be
	interpreted correctly.

## 11.1.28 Release 201603.02

Type	Description
Bug-fix	Solved issue in TNhaplotyper that incorrectly filtered INDELs.
Bug-fix	Solved issue in TNsnv and TNhaplotyper that made the dbsnp a required argument.
Bug-fix	Solved issue that creates non-conforming VCF files when calling variants in locations where the
	REF base is M.

## 11.1.29 Release 201603.01

Type	Description
Feature	Speed improvement in the VQSR algorithm.
Bug-fix	Solved issue that caused VQSR to apply to the wrong type of variants when the variants had a
	prior LOD annotation.
Bug-fix	Solved issue that slowed down dedup optical duplicate calculation when the number of dupli-
	cates at one locus is too large.

## 11.1.30 Release 201603

Type	Description
Feature	Maintenance update of algorithms.
Feature	Added TNsnv and TNhaplotyper (in $\beta$ ) algorithms for Tumor-Normal and Tumor only somatic
	variant calling.
Feature	Added support for CRAM files.
Feature	Added support for Haploid and Polyploid samples.
Feature	Added support for setting custom GQ bands for GVCF output.
Bug-fix	Solved issue that dropped reads when the reads extended beyond the contig boundary.

## 11.1.31 Release 201601.01

Туре	Description
Bug-fix	Solved issue in GVCFtyper that produced the wrong variant quality on sites with AAF 0.5 when
	using more than 50 samples.

## 11.1.32 Release 201601

Type	Description
Feature	Added support for using interval files only on the realign target creation portion of Realigner.
Bug-fix	Solved issue preventing license server from running in systems with an Infiniband interface.
Bug-fix	Solved issue when merging BAM files that marks reads unmapped when their mate is un-
	mapped.
Bug-fix	Solved issue in Haplotyper in GVCF mode when intervals are used that causes missed variants
	when the first interval of a contig contains no variants
Bug-fix	Solved issue in GVCFtyper to remove stray/empty SB annotations from the output VCF
Bug-fix	Solved issue causing the corruption of the output VCF under rare conditions involving large
	number of samples.
Bug-fix	Solved issue that prevented using BAM index files without the optional metadata pseudo bin.

## 11.1.33 Release 201511.01

Туре	Description
Bug-fix	Solved issue in QualCal algorithm that slowed down execution when using BAM files with large
	number of Readgroups.
Bug-fix	Solved issue in ReadWriter algorithm that produced an incorrect BAM file when using a recali-
	bration table and an input BAM that had PGZ auxiliary data.
Bug-fix	Solved issue in VQSR algorithm that caused the execution to hang when using the results from
	joint calling of more than 20 samples.

## 11.1.34 Release 201511

Type	Description
Feature	Maintenance update of algorithms.
Feature	Added pcr_indel_model flag to Haplotyper tool.
Feature	Added phasing information to the output of Haplotyper.
Feature	Added depth metrics tool.
Feature	Added support for compressed VCF/GVCF input and output.
Feature	Added support for Picard style interval files.
Feature	Added wrapper script to remove requirement of LD_LIBRARY_PATH environmental library.
Bug-fix	Added FILTER definition to the VQSR output file header.
Bug-fix	Solved issue that would cause an Out of Memory error in BQSR when using interval files.
Bug-fix	Solved issue that would cause a crash in IndelRealigner when the BAM file has reads with
	supplementary alignment.

## 11.1.35 Release 201509

Type	Description
Feature	Reduced resource requirements, including reducing the required maximum number of open
	files in the system.
Feature	Various improvements in error reporting.
Bug-fix	Solved issue that prevented using multiple BAM files as input to algorithms that produced
	another BAM file.
Bug-fix	Solved issue that prevented running BQSR when using Readgroups containing spaces.
Bug-fix	Solved issue in Haplotyper that could cause an incorrect variant quality score calculation in low
	coverage regions. The change could be up to 2% in the quality score, for 1 in 100000 variants.

## 11.1.36 Release 201508.01

	Type	Description	]
ĺ	Bug-fix	Solved issue in Realign algorithm that may cause an error when using known sites	1

## 11.1.37 Release 201508

Type	Description
Feature	Enhanced error reporting and error handling when dealing with inconsistent input data
Feature	Packaged BWA 0.7.12, and removed non-official options for BWA interface. The results of the
	packaged BWA are identical to the official BWA
Feature	Grouped the temporary files for VQSR plotting into a single file
Feature	New license server for large clusters
Bug-fix	Solved error in Haplotype Caller when using GVCF emit mode for joint calling
Bug-fix	Solved error in joint calling GVCFtyper that prevented using more than 20 samples

## 11.1.38 Release 201506

Type	Description
Feature	Added support for Hybrid Selection Analysis metrics
Feature	Unified Genotyper default behavior is to call only SNP variants, to be consistent with GATK
	3.3 default behavior
α-	Added initial support for joint variant calling of multiple samples that have ben previously
Feature	processed individually
Bug-fix	Metrics properly reports unmapped reads when both mates in the pair are unmapped

## 11.1.39 Release 201505.02

Туре	Description
Feature	Speed improvement in Alignment stage
Feature	Speed improvement in Dedup stage
Feature	Added support for temporary BAM files

## 11.1.40 Release 201505.01

Туре	Description
Bug-fix	Issue running VQSR in Mac platform

## 11.1.41 Release 201505

Туре	Description
Feature	Speed improvement via AVX optimization
Feature	Standarized option naming convention
Feature	tmp folder moved to the same location as the job
Feature	Added validation checks to input files
Bug-fix	Solved run to run differences in BQSR when reads have quality scores of 0.
Bug-fix	BQSR applied twice when running variant calling using a recaled BAM file.

# 11.2 Usage changes from previous release

## 11.2.1 Release 201808.07

There are no changes in interface for this release.

## 11.2.2 Release 201808.06

#### 11.2.3 Release 201808.05

This released introduced the following changes in interface and usage:

 Added --genotype\_model option in Genotyper, Haplotyer and GVCFtyper algorithm to support new multinomial genotyping model.

#### 11.2.4 Release 201808.03

There are no changes in interface for this release.

#### 11.2.5 Release 201808.02

This released introduced the following changes in interface and usage:

- Added TNscope option -disable\_detector to control the type of variants called.
- Added support for using multiple -interval options.

#### 11.2.6 Release 201808.01

This released introduced the following changes in interface and usage:

The binaries licsrvr and licclnt require using the bin/sentieon wrapper to be expecuted.

#### 11.2.7 Release 201808

This released introduced the following changes in interface and usage:

- Added --bam\_output option to TNscope and TNhaplotyper to output a BAM file including local reassembly of the reads.
- Added support for running BWA through the sentieon command wrapper.
- Modified plot interface to be consistent with other tools.
- Added support for CRAM v3 files.
- Added options --snp\_heterozygosity and --indel\_heterozygosity to Haplotyper and GVCFtyper to input the expected heterozygosity value used to compute prior likelihoods.
- Added options --keep\_oq and --use\_oq to QualCalFilter to keep and use the original qualities when performing BQSR.
- Added option --read\_flag\_mask to ReadWriter to perform customized read filtering.
- Added option --replace\_rg to driver to modify the RG information of an input BAM file.
- Modified the flow for germline variant calling with DNAscope and added DNAModelApply algorithm.

## 11.2.8 Release 201711.05

## 11.2.9 Release 201711.04

There are no changes in interface for this release.

#### 11.2.10 Release 201711.03

This released introduced the following changes in interface and usage:

- Introduced multi threaded capability to BWA shm, so that BWA shm accepts the -t NUMBER\_THREADS option.
- Introduced new environmental variable bwt\_max\_mem to limit the memory usage of BWA at the expense of speed performance.

#### 11.2.11 Release 201711.02

This released introduced the following changes in interface and usage:

- Introduced new QC metrics algorithms: BaseDistributionByCycle, QualityYield, WgsMetricsAlgo, SequenceArtifactMetricsAlgo.
- Modified the default for option filter chimeric reads in DNAscope when var type is NOT bnd.

#### 11.2.12 Release 201711.01

This released introduced the following changes in interface and usage:

• Added options --version` and ``--dump to licsrvr binary.

#### 11.2.13 Release 201711

This released introduced the following changes in interface and usage:

- Added new DNAscope algorithm for germline variant calling and structural variant calling.
- Added new TNModelApply algorithm for applying a Machine Learning model to help with variant filtration in TNscope.
- Added new --bam\_output option to Haplotyper to output a BAM file including local reassembly of the reads.
- Added new option --vqsr\_model to ApplyVarCal to allow application of both SNP and INDEL models in a single command line.

## 11.2.14 Release 201704.03

#### 11.2.15 Release 201704.02

This released introduced the following changes in interface and usage:

- Added --cycle\_val\_max parameter in QualCal algorithm.
- Added --cram\_write\_options in Dedup, Realigner, ReadWriter, and RNASplitReadsAtJunction algorithms when output format is CRAM.
- Removed --min\_iter in VarCal algorithm.

#### 11.2.16 Release 201704.01

There are no changes in interface for this release.

#### 11.2.17 Release 201704

This released introduced the following changes in interface and usage:

- Added new driver option --temp\_dir option to override where the temporary files will be stored.
- Added extra annotations for the --annotation option: SAC, AS\_BaseQRankSum, AS\_FS, AS\_InbreedingCoeff, AS\_MQRankSum, AS\_QD, AS\_MQ, AS\_ReadPosRankSum, AS\_SOR
- Added new read filter driver options --read\_filter MapQualFilter to filter the input BAM reads by mapping Quality and --read\_filter OverclippingFilter to filter the input BAM reads according to their soft clipping characteristics.
- Added CollectVCMetrics algorithm to calculate metrics on the VCF output from variant calling.
- Added new Dedup options --output\_dup\_read\_name and --dup\_read\_name to perform dedup to mark both primary and non-primary reads.
- Added new options for TNhaplotyper
- Added a new ContaminationAssessment option --population to specify the population to represent the baseline allele fraction.

## 11.2.18 Release 201611.03

This released introduced the following changes in interface and usage:

• Added new driver option --read\_filter QualCalFilter to perform base quality score recalibration with additional options.

#### 11.2.19 Release 201611.02

This released introduced the following changes in interface and usage:

- Introduced --given option in Genotyper, Haplotyper, and Tnscope, to perform calling at given variant sites.
- Introduced stream mode in util to perform base quality correction in streaming mode.
- Removed --nthr argument from VarCal.
- Added extra options to HsMetricAlgo.

## 11.2.20 Release 201611.01

There are no changes in interface for this release.

#### 11.2.21 Release 201611

This released introduced the following changes in interface and usage:

- Introduced ContaminationAssessment algorithm to estimate the contamination in the tumor sample based on the normal variants called.
- Added --emit\_mode all option in GVCFtyper.

#### 11.2.22 Release 201608.01

There are no changes in interface for this release.

#### 11.2.23 Release 201608

This released introduced the following changes in interface and usage:

• Introduced TNscope algorithm as part of the new TNscope product for Tumor-Normal somatic variant calling and structural variant calling.

#### 11.2.24 Release 201606.02

There are no changes in interface for this release.

#### 11.2.25 Release 201606.01

There are no changes in interface for this release.

#### 11.2.26 Release 201606

This released introduced the following changes in interface and usage:

- Added RNA variant calling tool --algo SplitReadsAtJunction and Haplotyper option --trim\_soft\_clip to process RNA data aligned using STAR.
- Added --help driver option to driver and algorithms to show online help.
- Added --interval\_padding driver option to pad the input intervals.

#### 11.2.27 Release 201603.03

This released introduced the following changes in interface and usage:

- Added --annotation option to Haplotyper and Genotyper to output additional annotations to the result VCF.
- Added new method of inputting GVCF files to GVCFtyper.

#### 11.2.28 Release 201603.02

There are no changes in interface for this release.

#### 11.2.29 Release 201603.01

There are no changes in interface for this release.

#### 11.2.30 Release 201603

This released introduced the following changes in interface and usage:

- Added TNsnv and TNhaplotyper algorithm for Tumor-Normal and Tumor only somatic variant calling.
- Haplotyper and Genotyper have a new optional argument --ploidy to indicate the ploidicity of the sample being processed.
- All locations where you can input and output BAM files now accept CRAM files.

#### 11.2.31 Release 201601.01

There are no changes in interface for this release.

#### 11.2.32 Release 201601

This released introduced the following changes in interface and usage:

• Realigner has a new optional argument --interval\_list to use interval file on the target interval portion of the algorithm, to be in line with Broad's Best Practice recommendations. Using option --interval in the driver call for realigner would apply the interval to both target creation and realignment, dropping reads in the output BAM file.

#### 11.2.33 Release 201511.01

There are no changes in interface for this release.

#### 11.2.34 Release 201511

This released introduced the following changes in interface and usage:

- Haplotyper has a new optional argument --pcr\_indel\_model to determine the indel model used to weed out false positive indels.
- The single interval definition for the --interval driver option is now first-base-1 based as opposed to first-base-0 based. The --interval driver option supports Picard style interval lists.
- The driver and util binaries are now accessible through a wrapper script that takes care of setting the LD\_LIBRARY\_PATH environmental library.
- Output VCF files can be produced compressed by using .vcf.gz or .g.vcf.gz extensions.

## 11.2.35 Release 201509

This release introduced the following changes in interface and usage:

- It is no longer necessary to setup a large limit of open files.
- In the Remove duplicates stage, the Dedup command now creates an output file reporting the results of the de-duplication.
- Added option --bam\_compression to all algorithms producing an output BAM file (Dedup, Realign and ReadWriter). The option controls the output BAM file compression level and can be used to achieve a faster speed at the expense of file size.

#### 11.2.36 Release 201508.01

There are no changes in interface for this release.

#### 11.2.37 Release 201508

This release introduced the following changes in interface and usage:

- The BWA mapping binary no longer requires or accepts the option -f.
- The procedure to generate the plots for base quality score recalibration (BQSR) is now composed of 3 commands instead of 2. Option --pre has been replaced by option --before, and a new option --plot is used to generate the plotting data.
- VQSR VarCal algorithm now produces a single file containing all data required to create the VQSR report; this file is specified via the new option --plot\_file.

#### 11.2.38 Release 201506

There are no changes in interface for this release.

## 11.2.39 Release 201505.02

This released introduced the following changes in interface and usage:

- In the Alignment stage, the samtools command to convert the output of BWA from SAM to BAM is no longer required, as it is now done in the util command via a new option --sam2bam.
- In QualCal algorithm there is a new option --pre RECAL\_DATA.TABLE to calculate the data required to create the report.

## 11.2.40 Release 201505.01

## 11.2.41 Release 201505

This release introduced the following changes in interface and usage:

- In the GCBias algorithm, the option -s has been replaced by --summary.
- In the LocusCollector algorithm, the option -f has been replaced by -- fun.
- In the Remove duplicates stage, the option -s has been replaced by --score\_info.
- In the Remove duplicates stage, the option -r has been replaced by --rmdup.
- In the BQSR stage, it is no longer necessary to run the varplot command to calculate the data required to create the BQSR report; this call has been merged with the call to apply the recalibration. New option --csv is now used.

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## **Acronyms and Abbreviations**

**GATK** Genome Analysis Tool Kit

VCF Variant Call Format

**SAM** Sequence Alignment/Map

**BAM** Binary compressed SAM

**BCF** Binary compressed variant Call Format

**BED** Browser Extensible Display

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